

# Photonic crystals, PHYS-605

Ecole doctorale photonique

Romuald Houdré

Summer semester 2017

## VI Devices

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### \* 1 Introduction, overview.

- Introduction
- History of photonic crystals
- The key concepts

### \* 2 Theory

- Main equations
- Band structures and projected band structure
- Plane wave expansion method
- FDTD
- Transfer matrices, FEM and other methods

### \* 3 Basic properties

- Mirror
- Waveguide
- Bends, splitters, couplers
- Optical resonator
- High quality factor cavities
- Dispersion diagram and equifrequency surfaces
- Superprism, negative refraction
- Selfcollimation
- Fourier analysis of Bloch waves

### \* 4 Fabrication techniques

- Epitaxy, patterning, etching
- 2D, III-V, Si, SOI
- 3D, Opals

### \* 5 Measurement techniques

- External light source
- Internal light source
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### \* 6 Applications

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- Add/Drop
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- Point defect photonic crystal lasers
- Band-edge photonic crystal lasers

### \* 7 Emerging topics

- Integration with microfluidics systems
- Biology
- Slow light
- Nano-beam
- Optomechanic systems
- Subwavelength structures
- Slotted waveguides
- Optical trapping
- Sensors
- Dynamic control
- Non-reciprocal structures
- Novel materials (chalcogenide, diamond, GaN,...)
- Thermal photovoltaic
- Topological transitions
- ...

# Integrated optics

Planar integrated optics is now well developed

- Silica / doped silica
  - III-V semiconductors
  - LiNbO<sub>3</sub>
  - Organic materials
- 
- Phasar
  - Integrated spectrometers
  - Laser diode, amplifier
  - Non linear effects

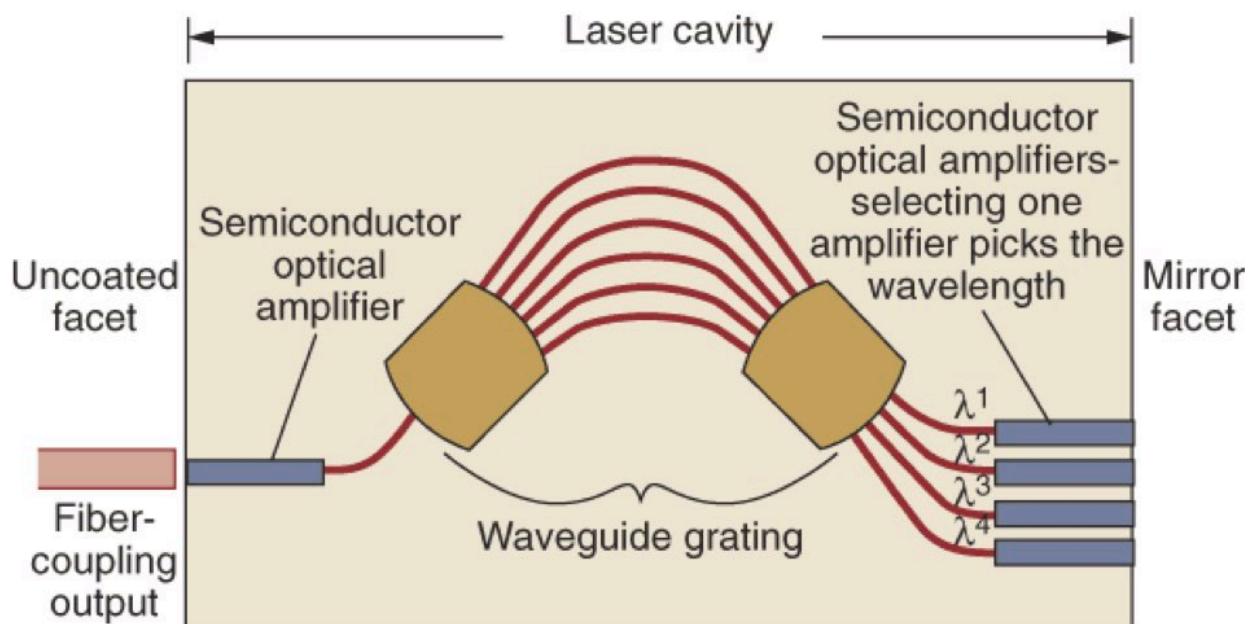
# Integrated optics

But planar integrated optics did not follow the same trends as the integrated electronics (Moore's law)

- Presently maximum of two or three functions for a limited number of channels are integrated on one chip
- Fabrication costs
- Smaller amounts
- Low fabrication yields
- Integrated circuits and optical fibres coupling issues
- Hybridisation of different technologies

Nowadays the technology for an optical chip, compact and versatile, comparable to an electronic chip does not exist.

# Integrated optics

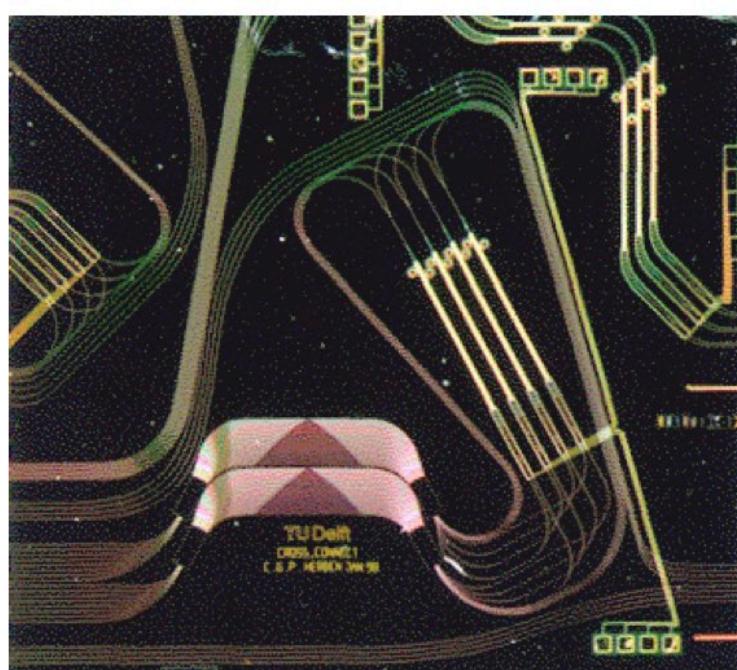


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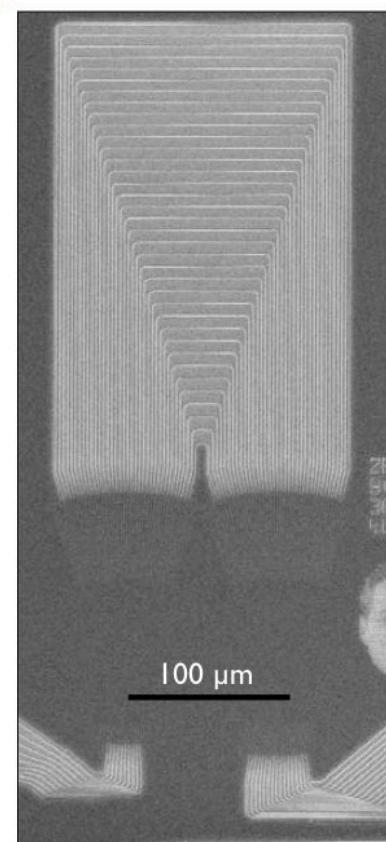
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# Integrated optics



1mm



C.G.P. Herben et al., IEEE PTL, 10, 678 (1998)

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photronics.intec.ugent.be

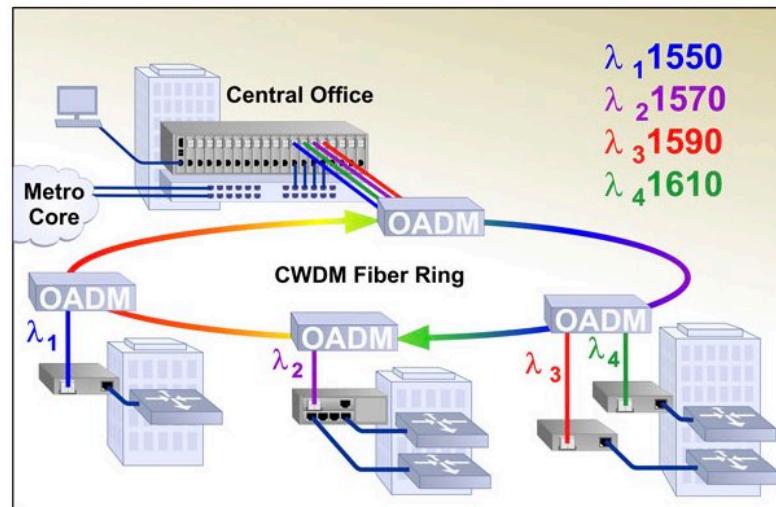
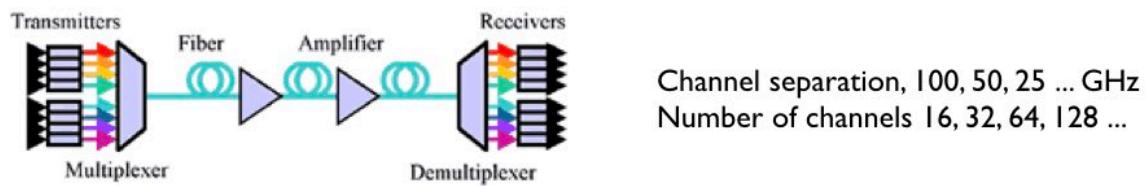
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# Optical network

## Wavelength multiplexing

### WDM (Wavelength Division Multiplexing)

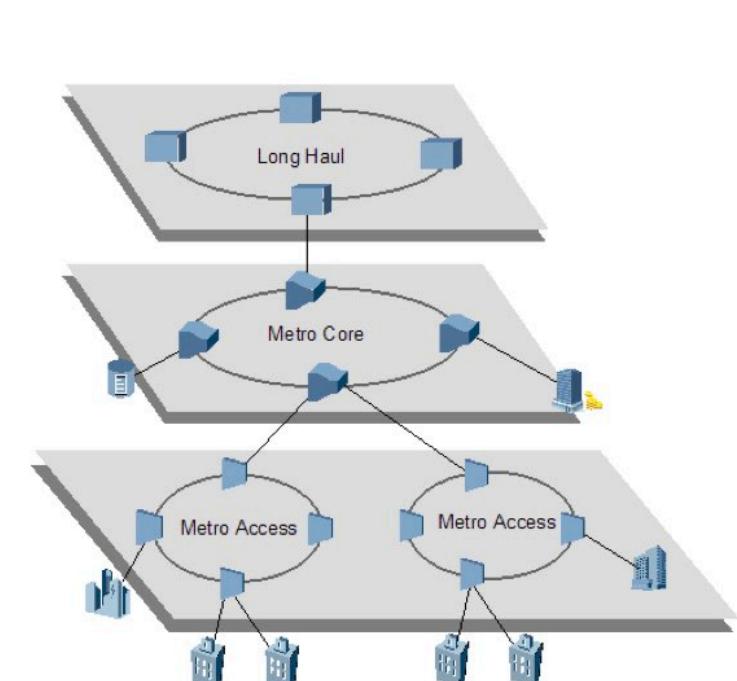


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# Optical network



	Metro 6040		Metro 6100		BWS 320G/1600G		Multitenant building
	Internet data centre		Bank		Intelligent residential area		Enterprise



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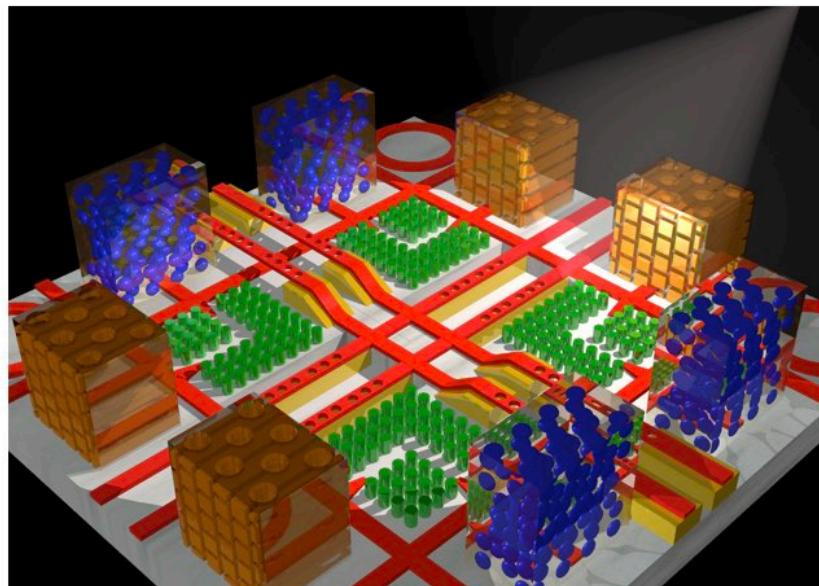
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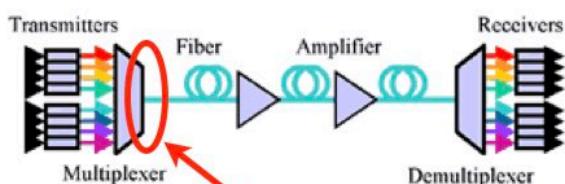
# Integrated optics

In this context, photonic crystals generated great expectations

- Dense integration
- Miniaturisation
- Fabrication



## Coupling



coupling issues between the outside world (a monomode telecom fibre not polarisation maintaining) and the modes of a nanophotonic device (photonic crystal or nanowire) :

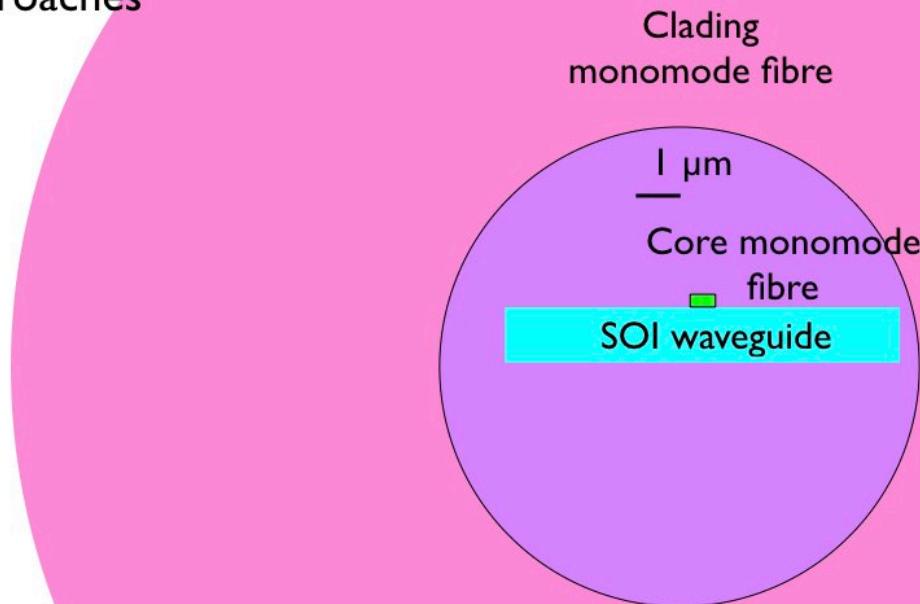
- Much smaller
- Very different optical mode shape
- Different numerical aperture
- Polarisation sensitive

# Coupling

## Mode converter

- Lateraly (in plane) "easy"
- Transverse (vertically) "difficult"

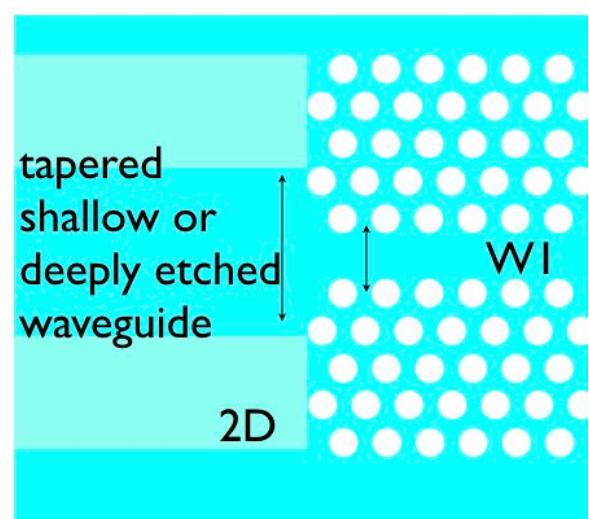
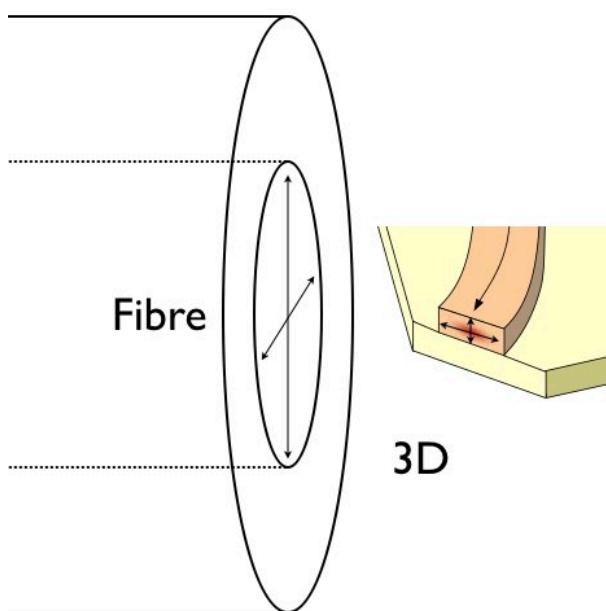
Several approaches



# Coupling

## Mode converter

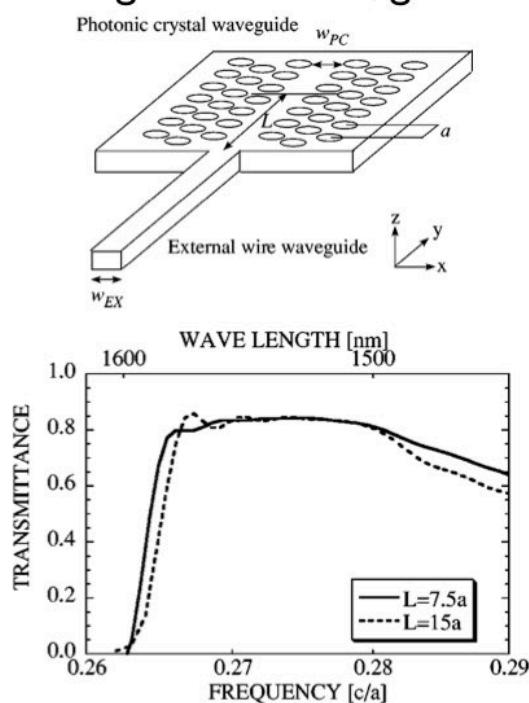
- 1 optical fibre, ridge waveguide, 3D
- 2 ridge waveguide, photonic crystal waveguide, 2D



# Coupling

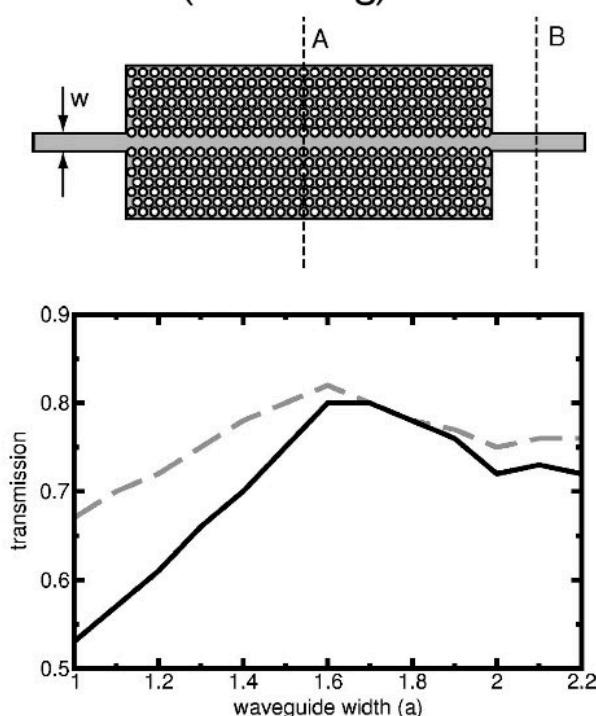
Direct coupling (butt coupling)

Fibre / guide : -30 dB, guide/CPh : 70-80 % (modelling)



E. Miyai et al., Appl. Phys. Lett., 81, 3730 (2002)

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N. Moll et al., J. Appl. Phys., 93, 4986 (2003)

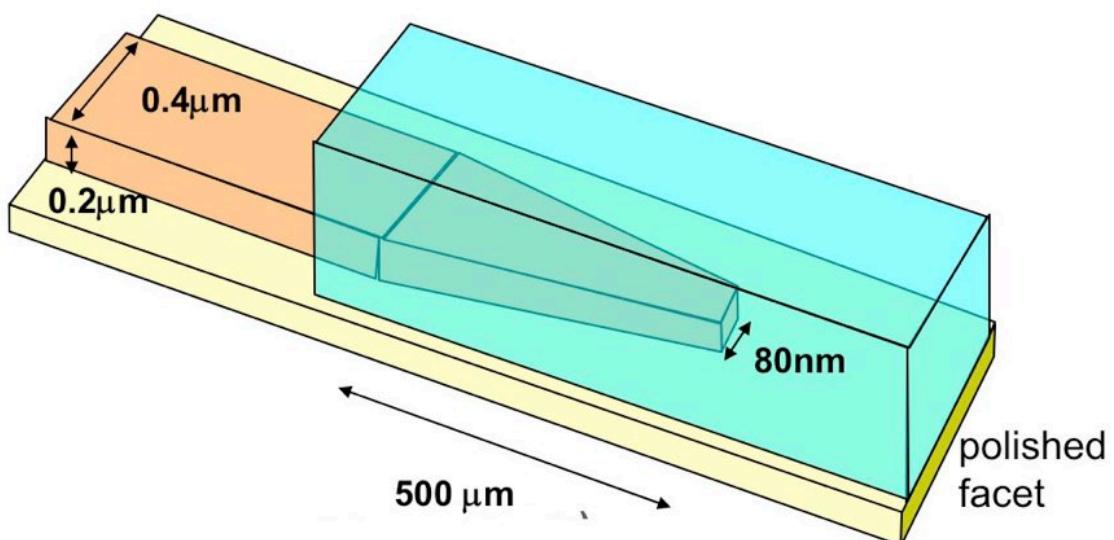
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# Coupling

Mode converter

3D, adapted solutions from industry and integrated optics



M. Notomi et al., Opt. Exp., 12, 1551 (2004)

McNab et al., Opt. Exp., 11, 2927 (2003)

G. Roelkens et al., Phot. Tech. Lett., 17, 2613 (2005)

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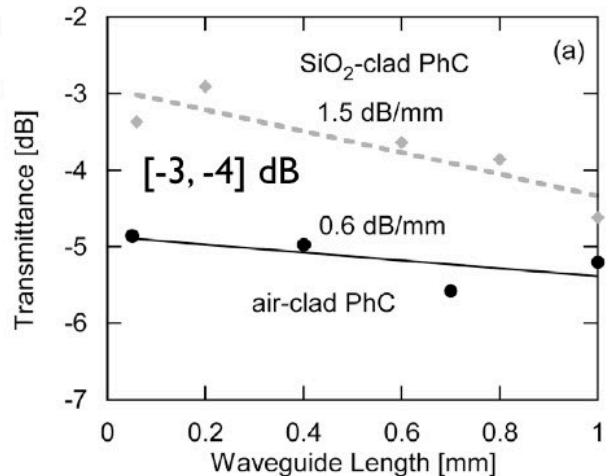
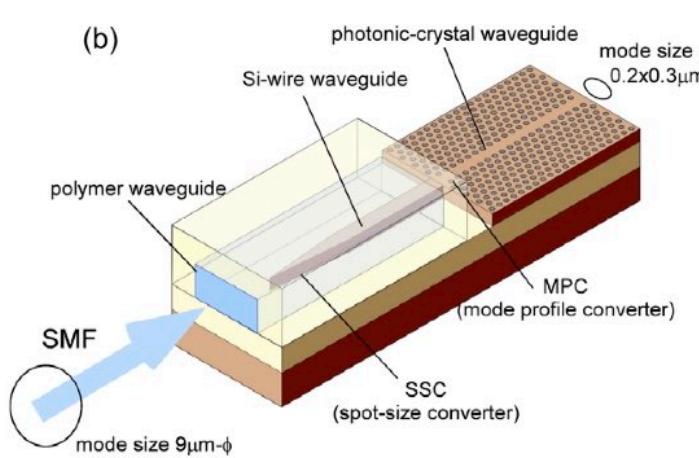
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# Coupling

## Mode converter

3D, adapted solutions from industry and integrated optics



M. Notomi et al., Opt. Exp., 12, 1551 (2004)

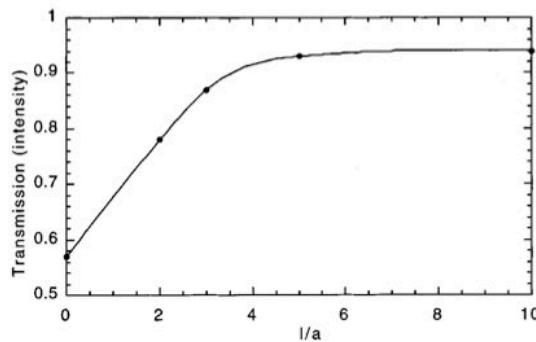
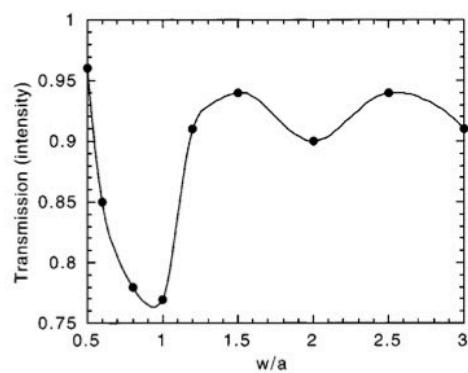
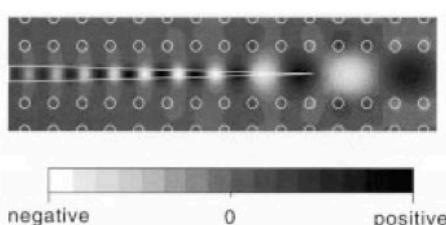
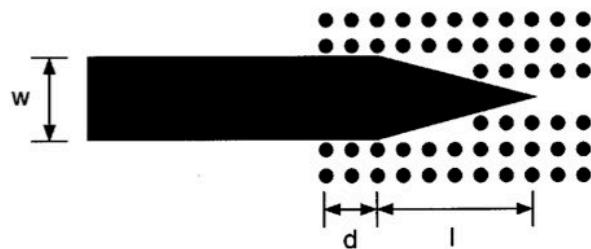
McNab et al., Opt. Exp., 11, 2927 (2003)

G. Roelkens et al., Phot. Tech. Lett., 17, 2613 (2005)

# Coupling

## Mode converter

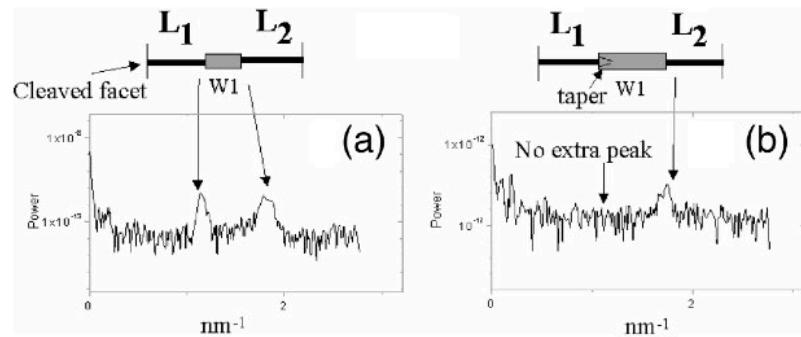
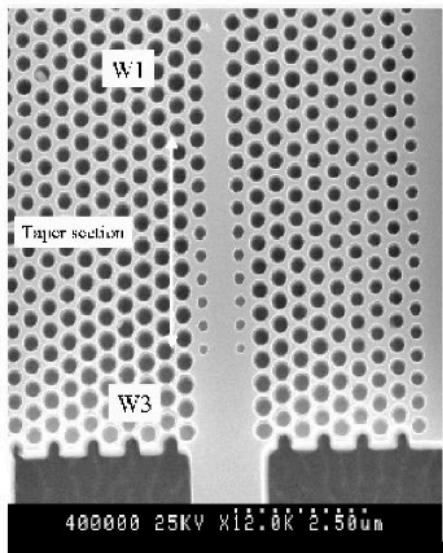
2D, many proposals



A. Mekis et al., J. Light. Tech., 19, 861 (2001)

# Coupling

Mode converter  
2D, many proposals



$$R < 1 - 6 \%$$

A.Talneau et al., Opt. Lett., 27, 1522 (2002)

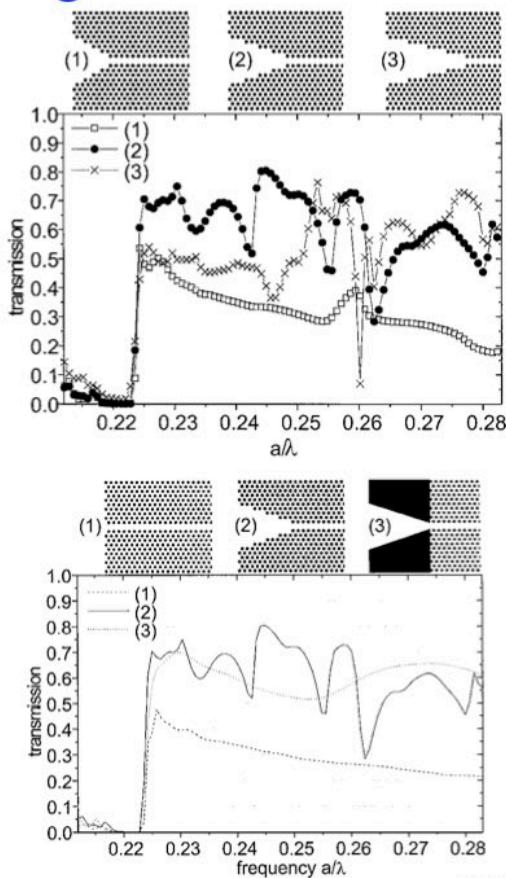
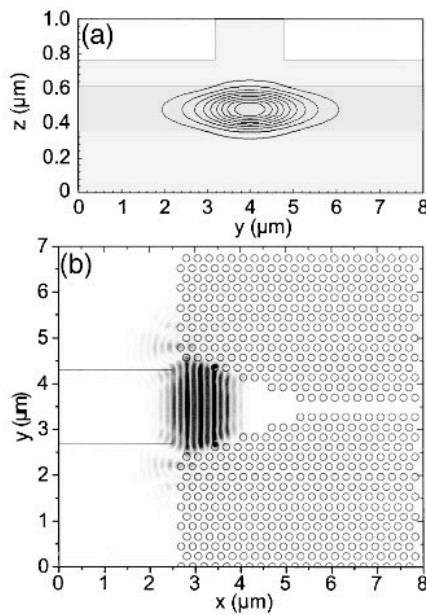
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# Coupling

Mode converter  
2D, many proposals



T. Happ et al., Opt. Lett., 26, 1102 (2001)

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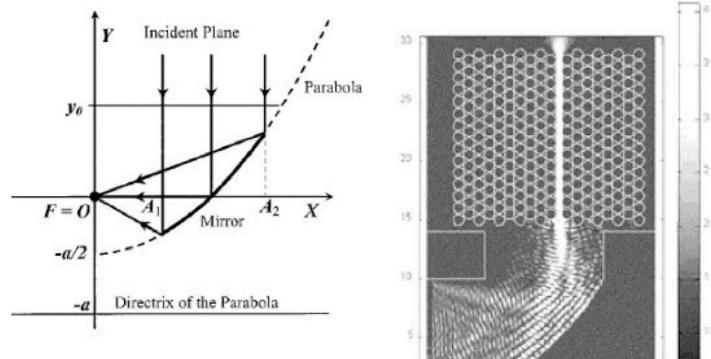
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# Coupling

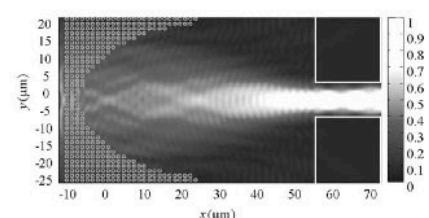
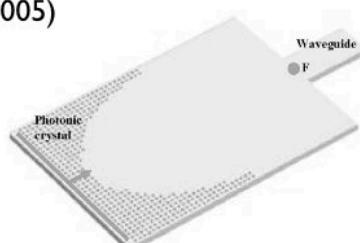
Mode converter  
2D, many proposals  
see for example :

- P. Sanchis et al., Opt. Exp., 10, 1391 (2002)
- J. Jiang et al., Opt. Lett., 28, 2381 (2003)
- W. Kuang et al., Opt. Lett., 27, 1604 (2002)
- P.E. Barclay et al., Opt. Lett., 29, 697 (2004)
- M.E. Potter et al., Opt. Exp., 10, 691 (2002)
- D.W. Prather et al., Opt. Lett., 27, 1601 (2002)
- C.C. Lin et al., Opt. Lett., 30, 1330 (2005)



(a) D.W. Prather et al.

(b)



(a)

(b)

But few convincing demonstrations

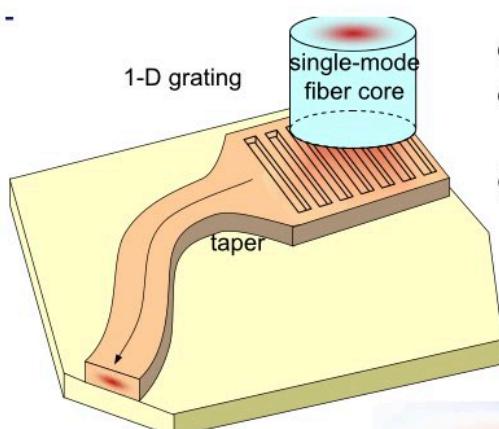
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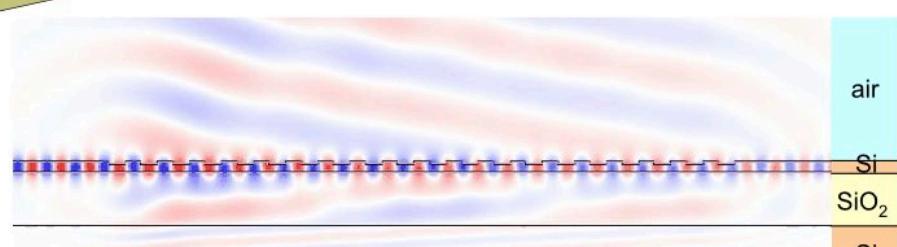
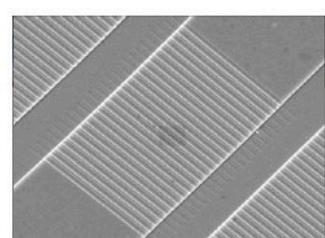
# Coupling

1D grating  
another approach adapted from industry and integrated optics



coupling  $\approx 30\%$  (exp. 2006) -  $80\%$  (modelling)  
over  $\Delta\lambda \approx 100\text{ nm}$  àat 1500 nm

Optimisation :  
depth of each slit  
back reflector



D.Taillaert et al., J. Quant. Elect., 38, 949 (2002) and photonics.intec.ugent.be

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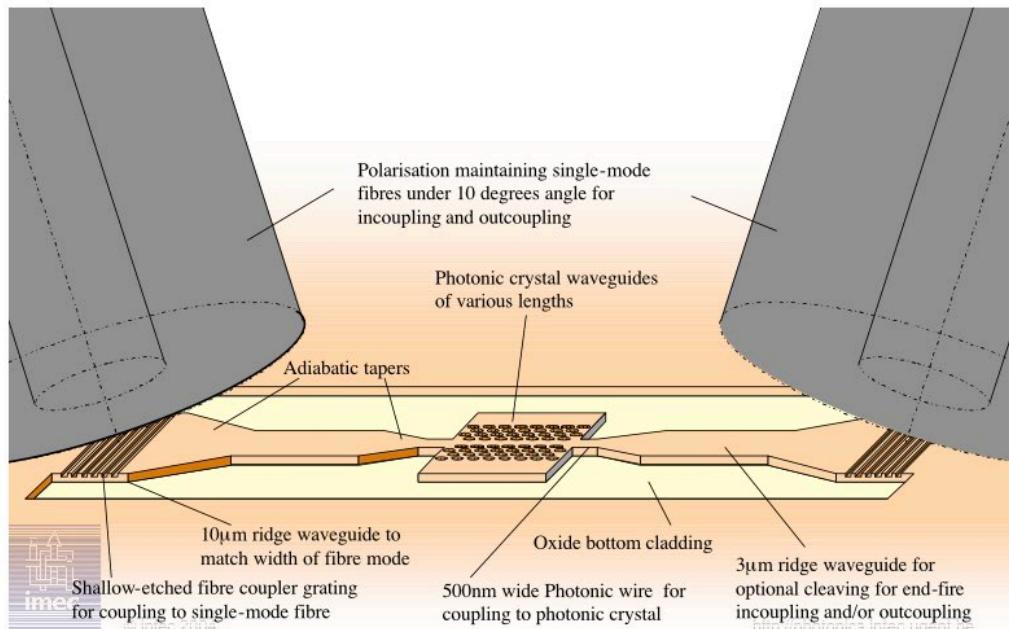
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# Coupling

## ID grating

another approach adapted from industry and integrated optics



[photonics.intec.ugent.be](http://photonics.intec.ugent.be)

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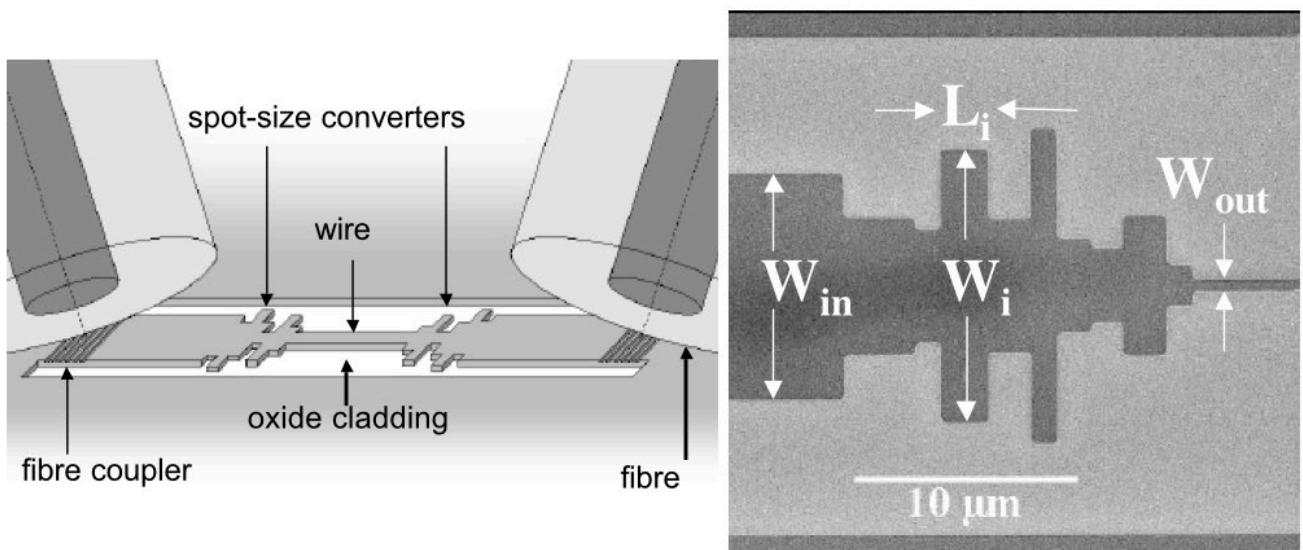
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# Coupling

## ID grating

another approach adapted from industry and integrated optics

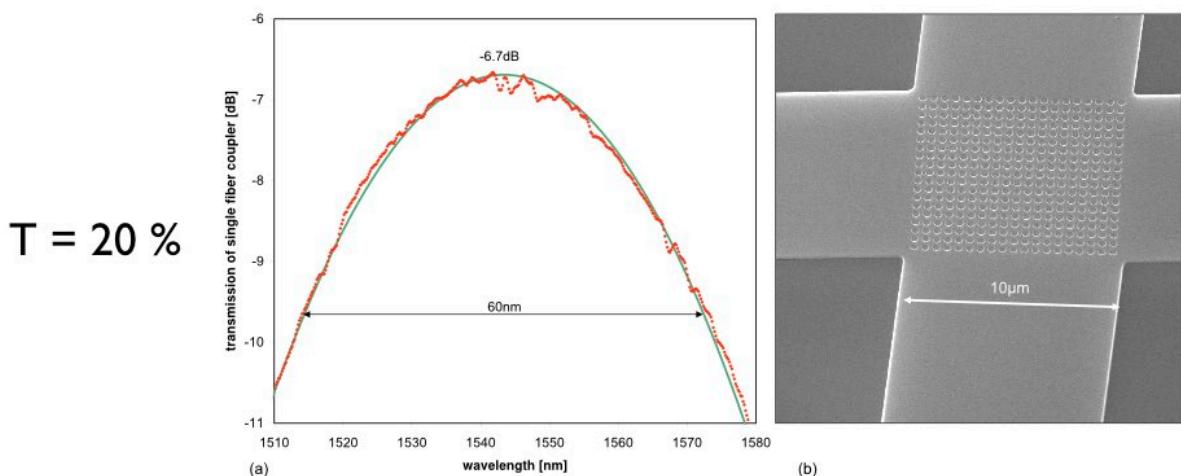
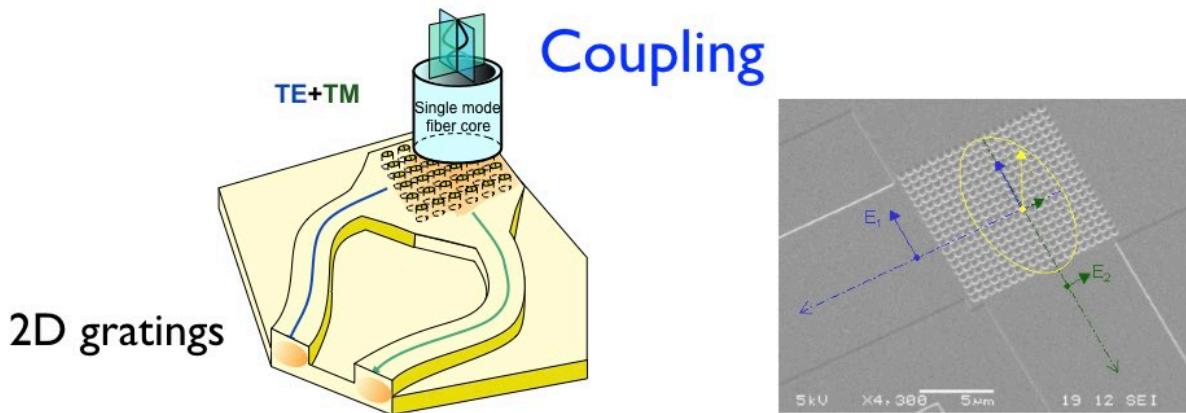


[photonics.intec.ugent.be](http://photonics.intec.ugent.be)

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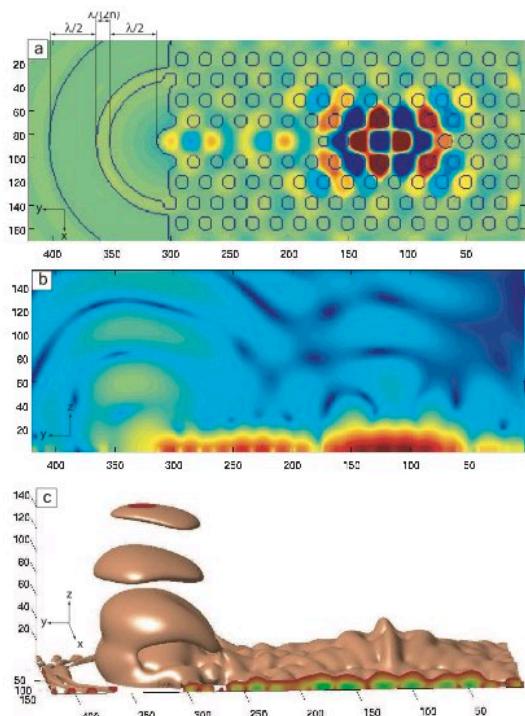
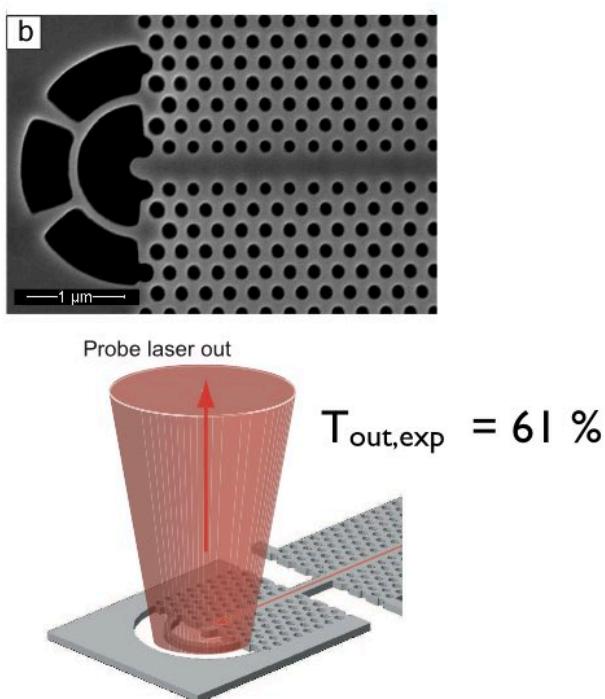




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## Coupling

### Other grating design - I



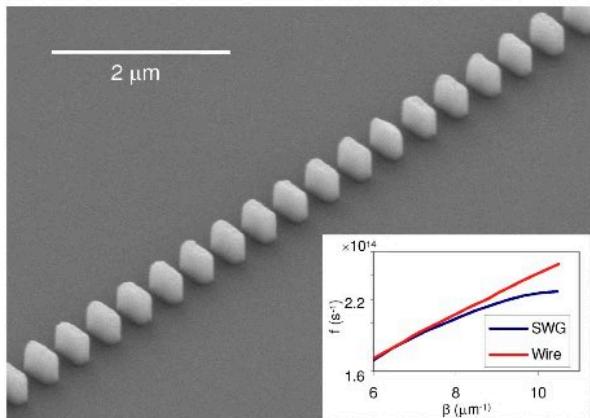
**A. Faraon et al., Opt. Exp., 16, 12154 (2008)**

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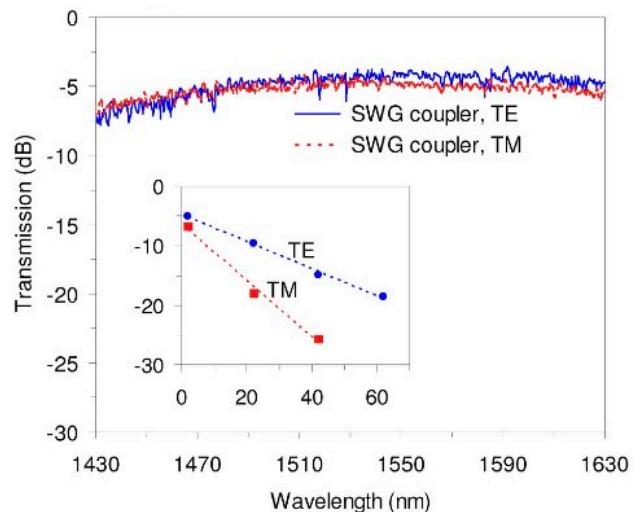
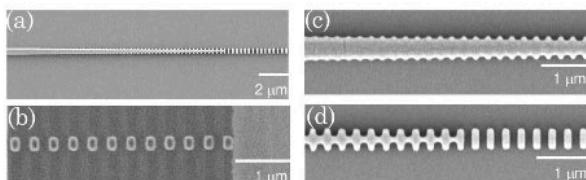
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# Coupling

A recent successful approach: Subwavelength grating waveguides



+ SU-8 or SiO<sub>2</sub> encapsulation



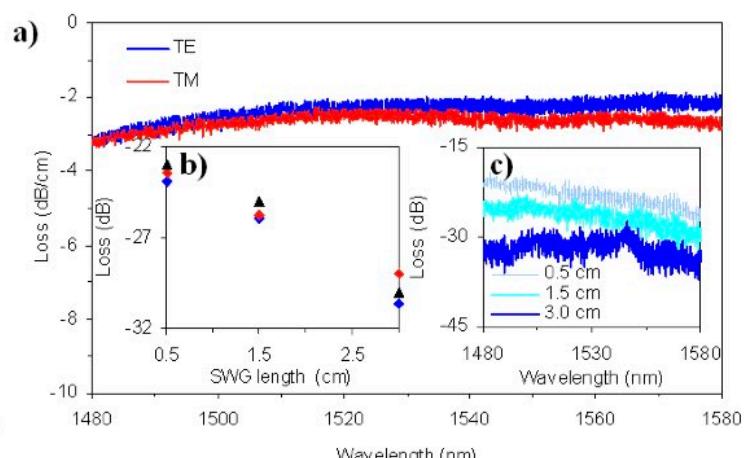
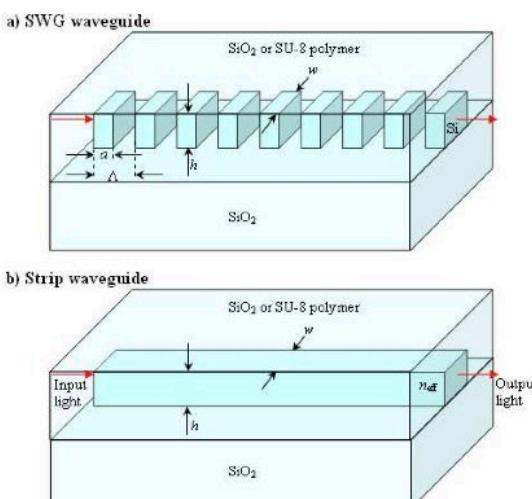
Coupling losses < -0.9 dB

P. Cheben et al., Opt. Lett., 35, 2526 (2010)  
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# Coupling

Note: the same approach is used for

Waveguiding



Propagation losses ≈ -2.1 dB/cm

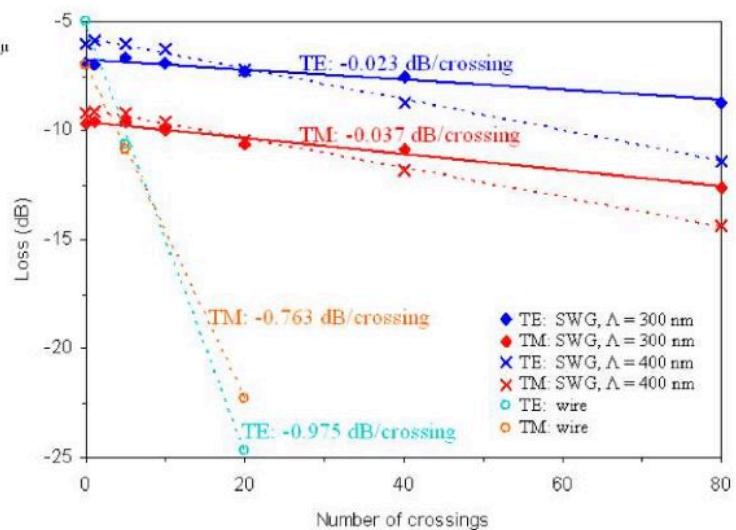
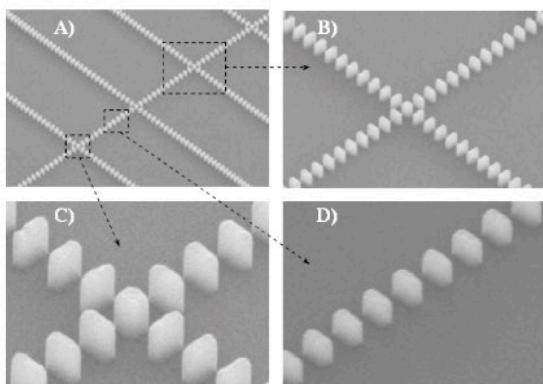
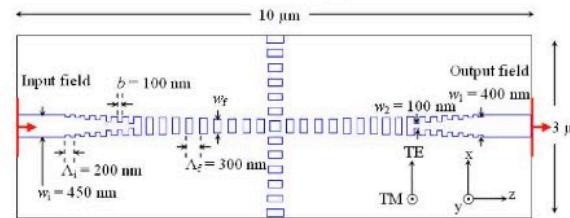
P. Bock et al., Opt. Exp., 18, 20251 (2010)

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# Coupling

Note: the same approach is used for

Waveguides crossing



Crossing losses  $\approx -0.023$  dB  
Crosstalk  $< -40$  dB

P. Bock et al., Opt. Exp., 18, 16146 (2010)  
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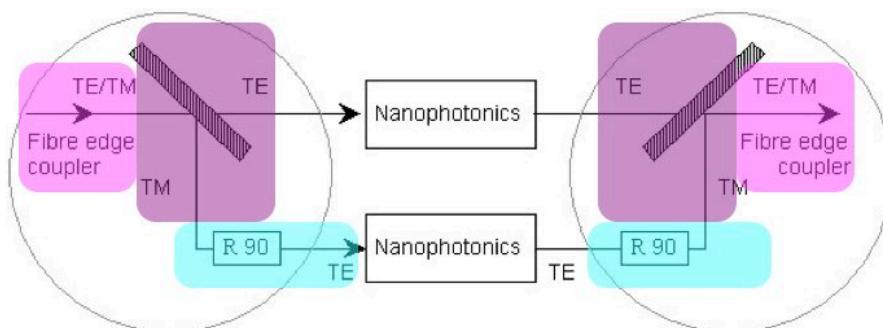
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# Coupling

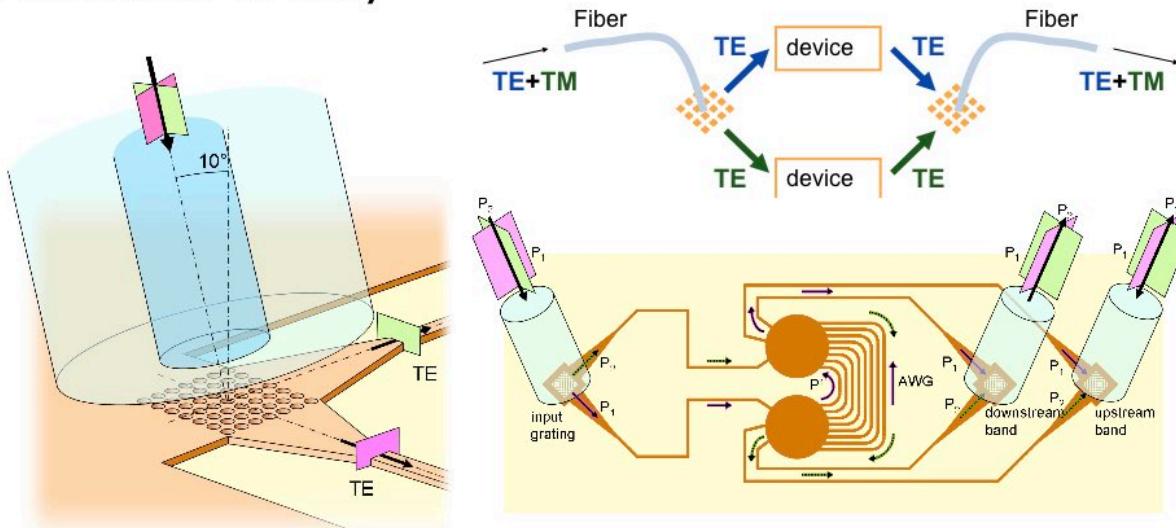
Polarisation diversity :

- Each signal delivered by the input optical fibre has a different polarisation state
- This polarisation state may even change with time
- Nanophotonic devices (photonic crystal included) are all polarisation sensitive)

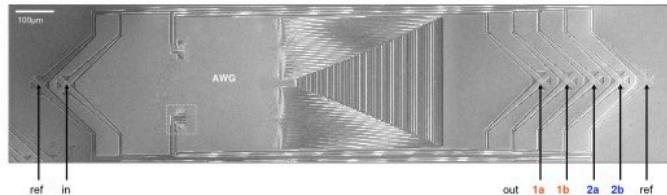


# Coupling

## Polarisation diversity



Insertion losses  
[-6dB -2dB]



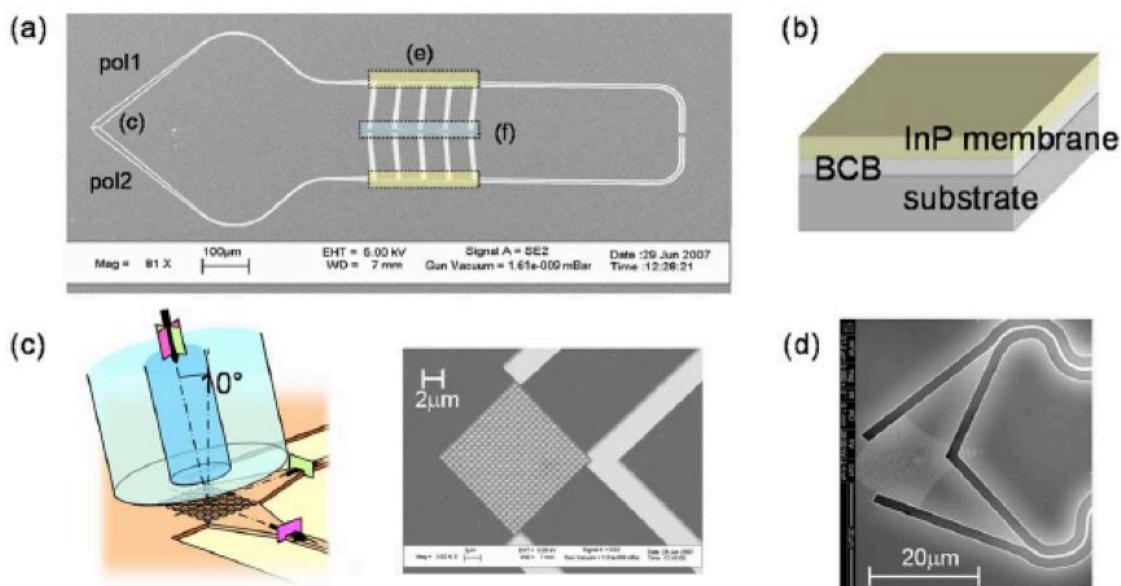
W. Bogaerts et al., Opt. Exp., 15, 1567 (2007) and photonics.intec.ugent.be  
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# Coupling

Polarisation diversity  
Integrated with demultiplexer and photodetector



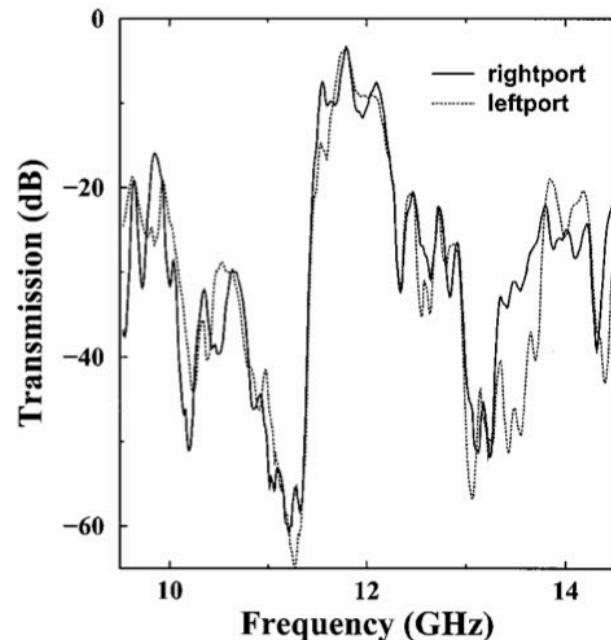
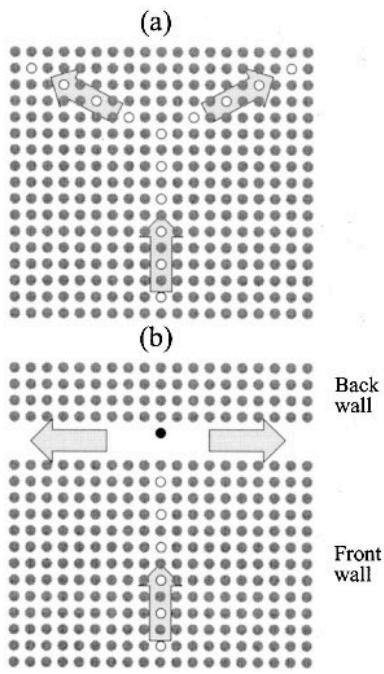
F. Van Laere et al., J. Light. Tech. 27, 417 (2009)

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# Passive devices : Divider & Couplers



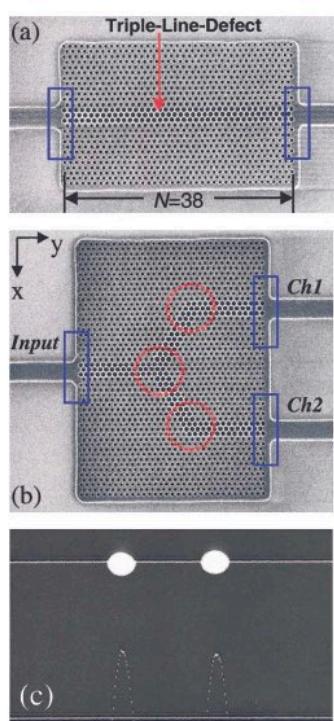
M. Bayindir et al., Appl. Phys. Lett., 77, 3902 (2000)  
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Microwave

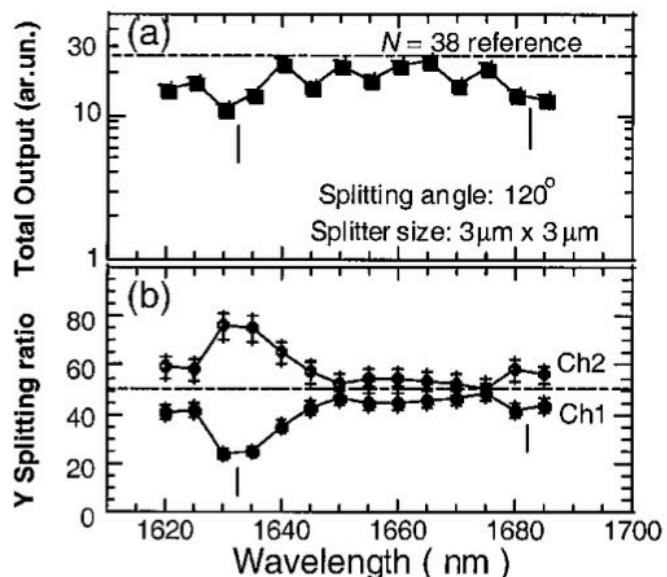
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# Passive devices : Divider & Couplers



membrane GaAs  
0.5-1dB losses  
1640-1680 nm

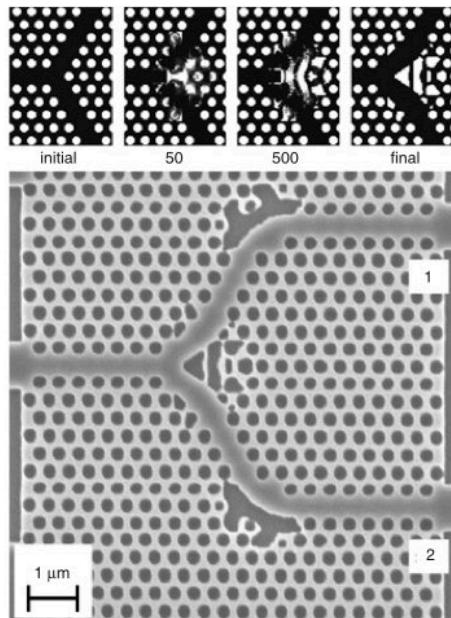


M. Bayindir et al., Appl. Phys. Lett., 77, 3902 (2000)  
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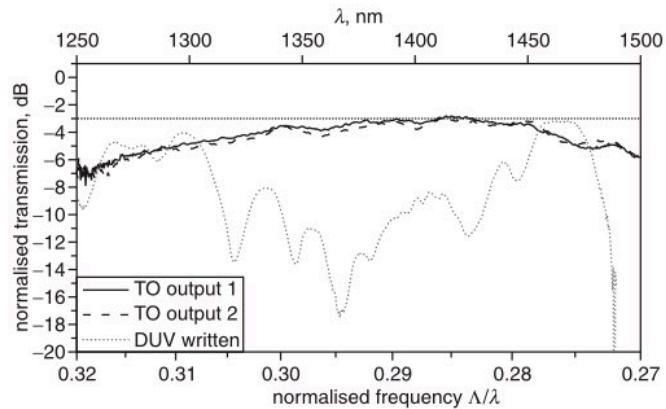
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# Passive devices : Divider & Couplers



Same type of issues in bends



P.I. Borel et al., El. Lett., 41, 69 (2005)  
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Also : M.Ayre et al., IEEE J. Sel. Areas. Comm., 23, 1390 (2005)

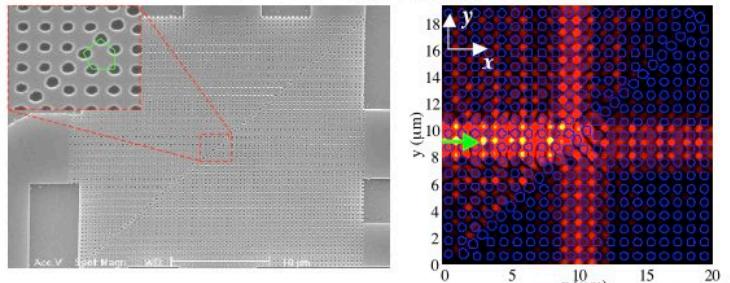
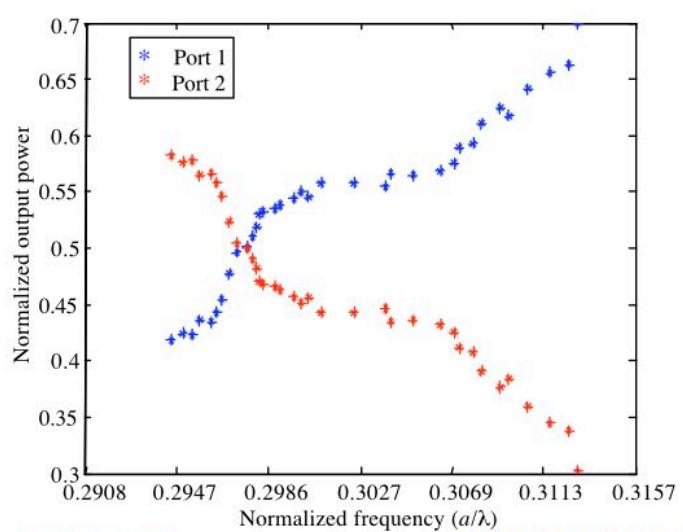
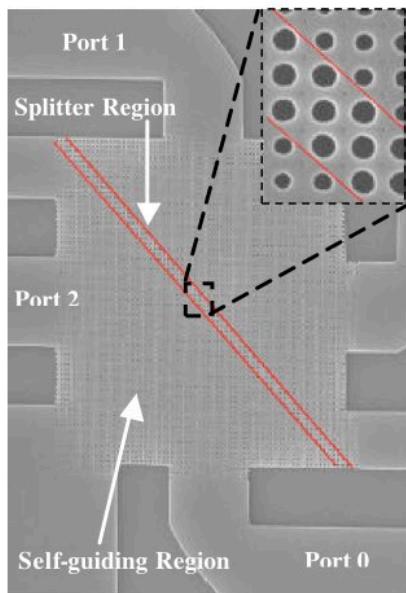
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ECOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE

# Passive devices : Divider & Couplers

Selfcollimation  
2 et 3 ports



D.M. Pustai et al., Opt. Exp., 12, 1823 (2004)

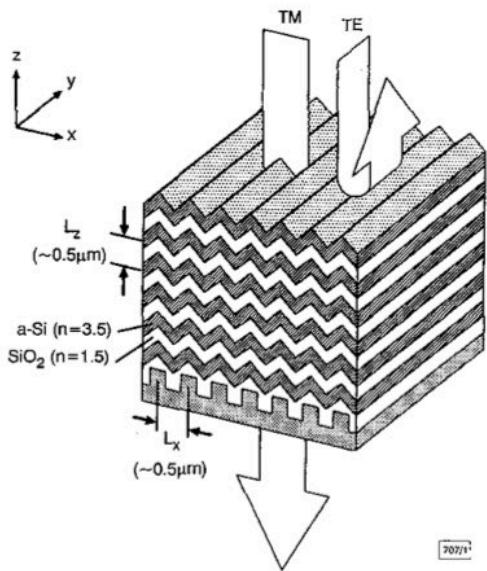
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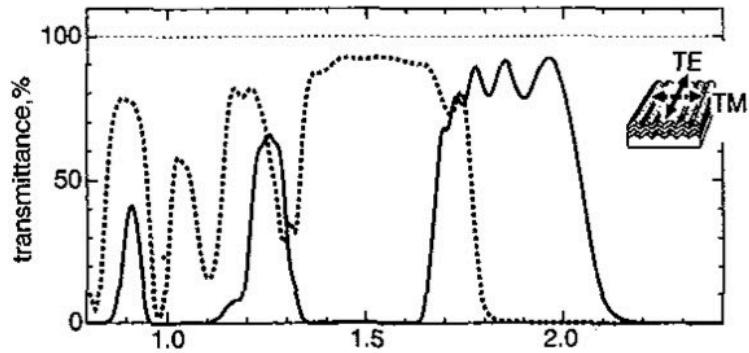


ECOLE POLYTECHNIQUE  
FÉDÉRALE DE LAUSANNE

# Passive devices : Polarisation splitter



A rare example of a device using 3D photonic crystals



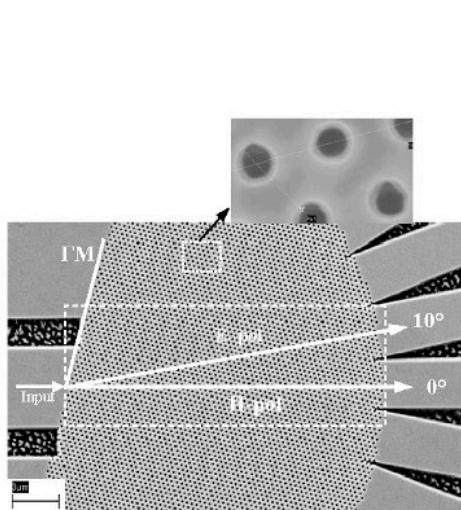
Y. Ohara et al., El. Lett., 35, 1271 (1999)

Ecole doctorale photonique, Photonic crystals, PHYS-605, Romuald Houdré, Summer semester 2017

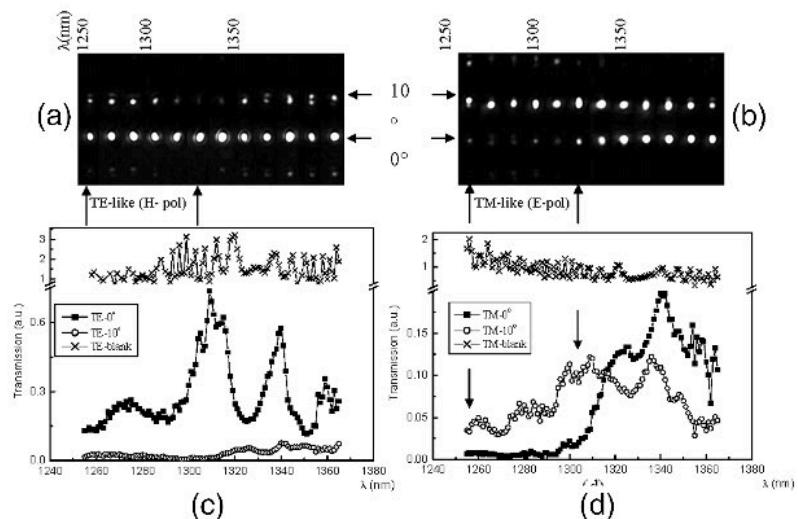
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# Passive devices : Polarisation splitter



Selfcollimation



No quantitative data

L. Wu et al., Opt. Lett., 29, 1620 (2004)

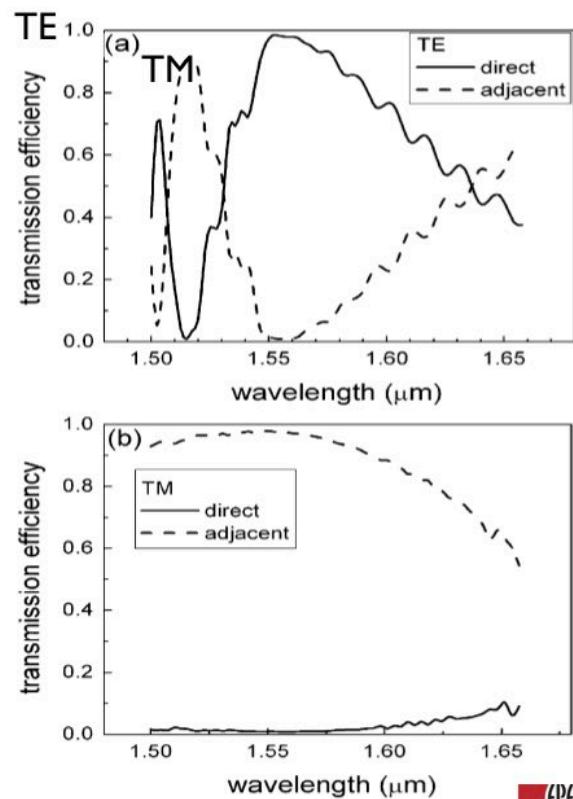
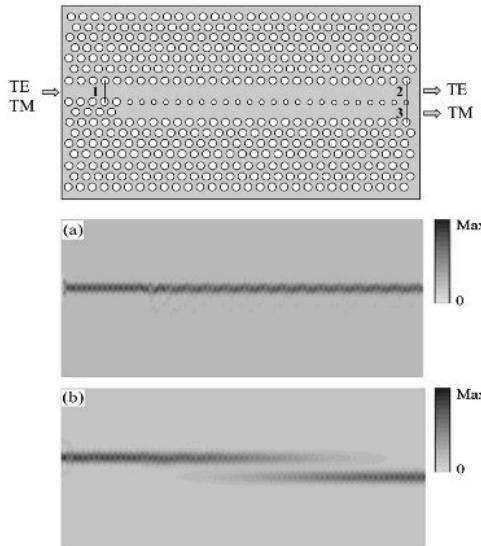
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# Passive devices : Polarisation splitter

Codirectional coupler  
Modelling only



T. Liu et al., Phot. Tech. Lett., 17, 1435 (2005)

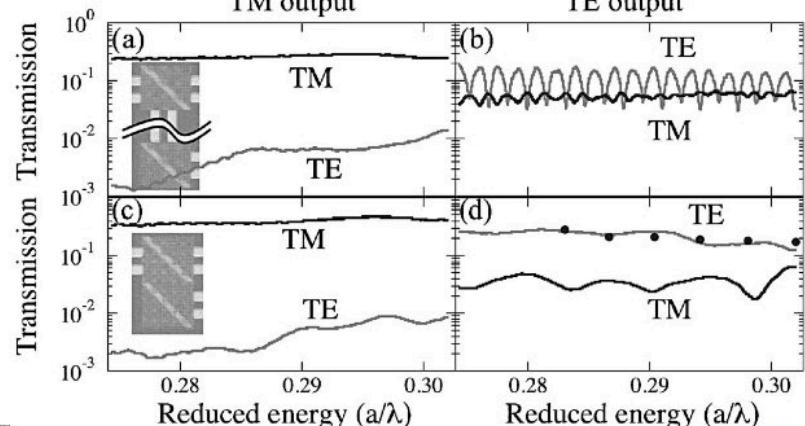
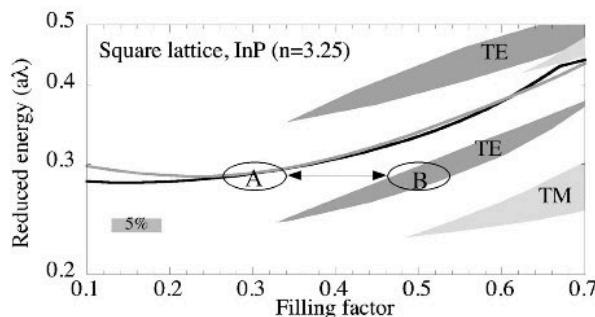
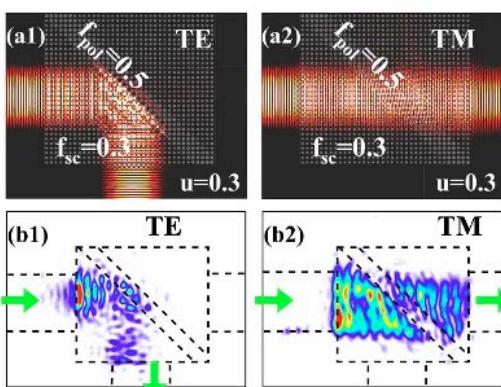
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# Passive devices : Polarisation splitter

Selfcollimation  
 $T = 30-35 \%$   
Rejection 0.2-4 %



V. Zabelin et al., Opt. Lett., 32, 530 (2007)

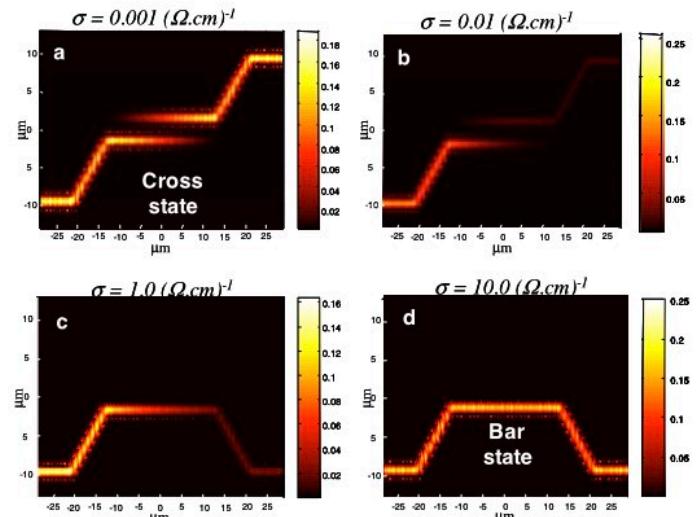
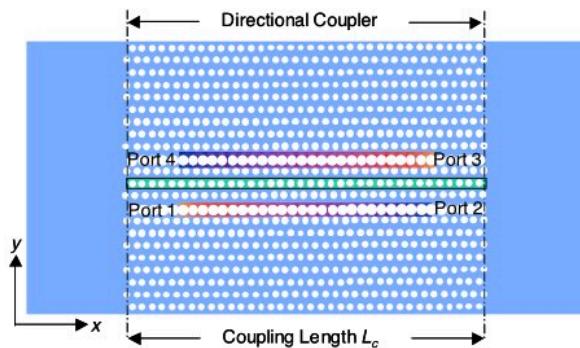
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# Passive devices : Modulator & Router

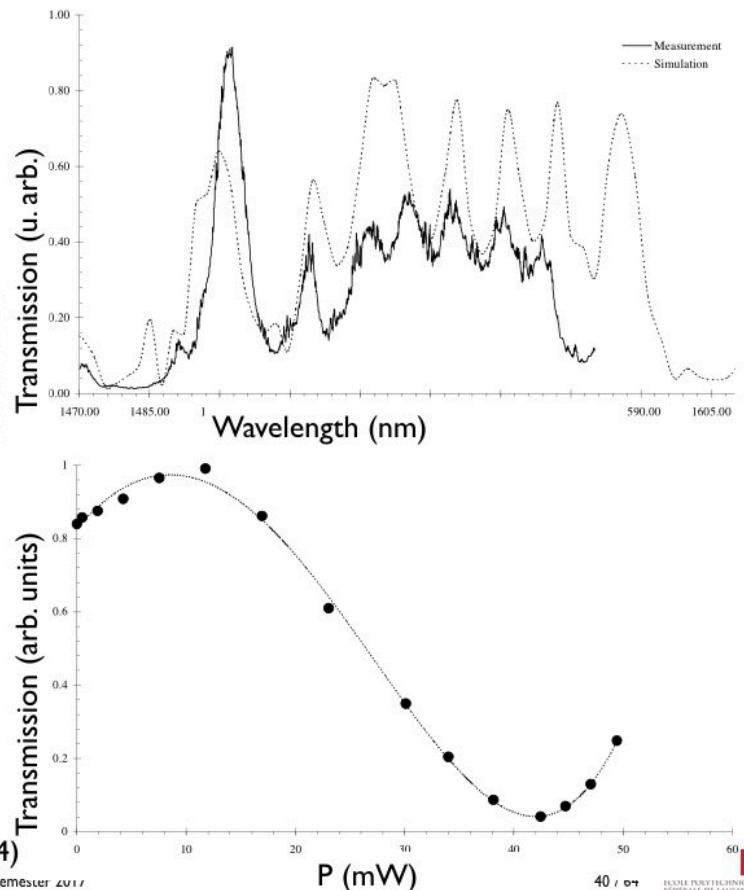
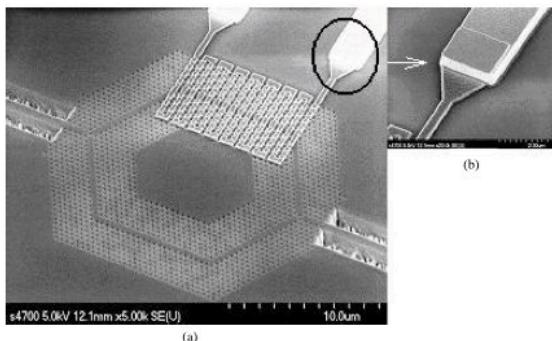
Modulation of the conductivity  
by free carriers injection  
Modelling only



A. Sharkawi et al., Opt. Exp., 10, 1048 (2002)  
Ecole doctorale photonique, Photonic crystals, PHYS-605, Romuald Houdré, Summer semester 2017

# Passive devices : Modulator & Router

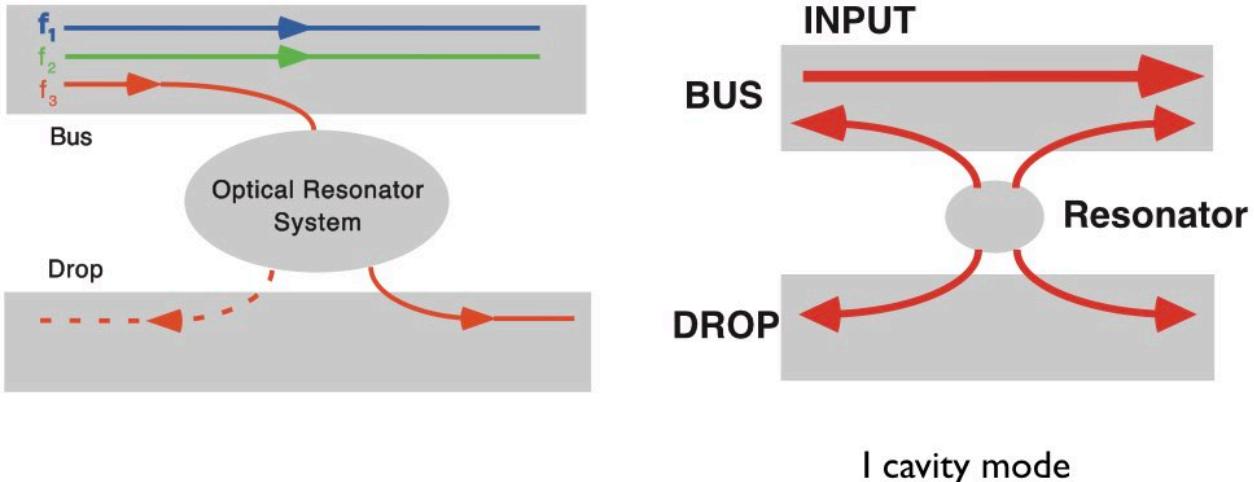
Thermo-optics effects  
No quantitative data



E.A. Camargo et al., Opt. Exp., 12, 588 (2004)

Ecole doctorale photonique, Photonic crystals, PHYS-605, Romuald Houdré, Summer semester 2017

# Passive devices : Add-drop filter



S. Fan et al., Opt. Exp., 3, 4 (1998)

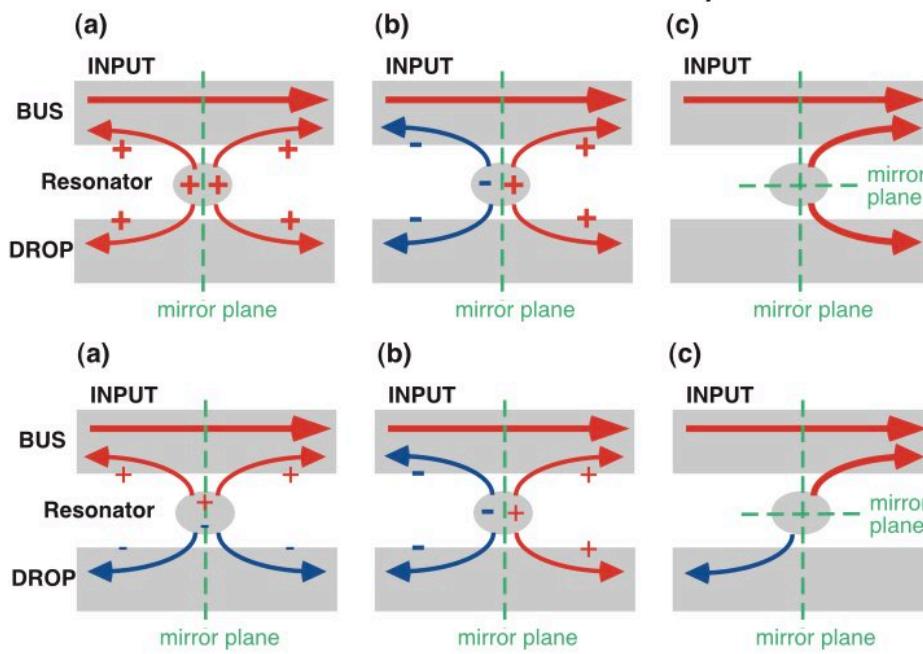
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# Passive devices : Add-drop filter

2 degenerated modes are needed to achieve directivity



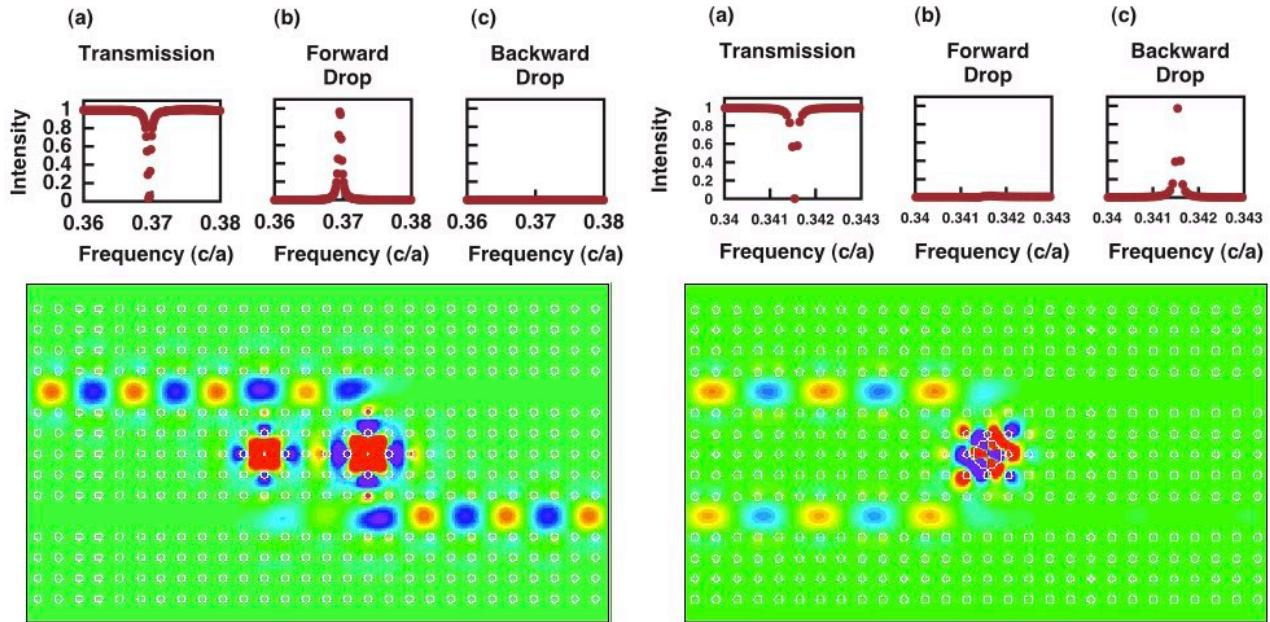
S. Fan et al., Opt. Exp., 3, 4 (1998)

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## Passive devices : Add-drop filter



+ long literature

All these structures are very sensitive to fabrication fluctuations

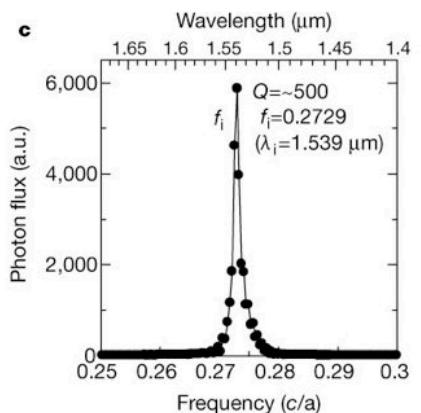
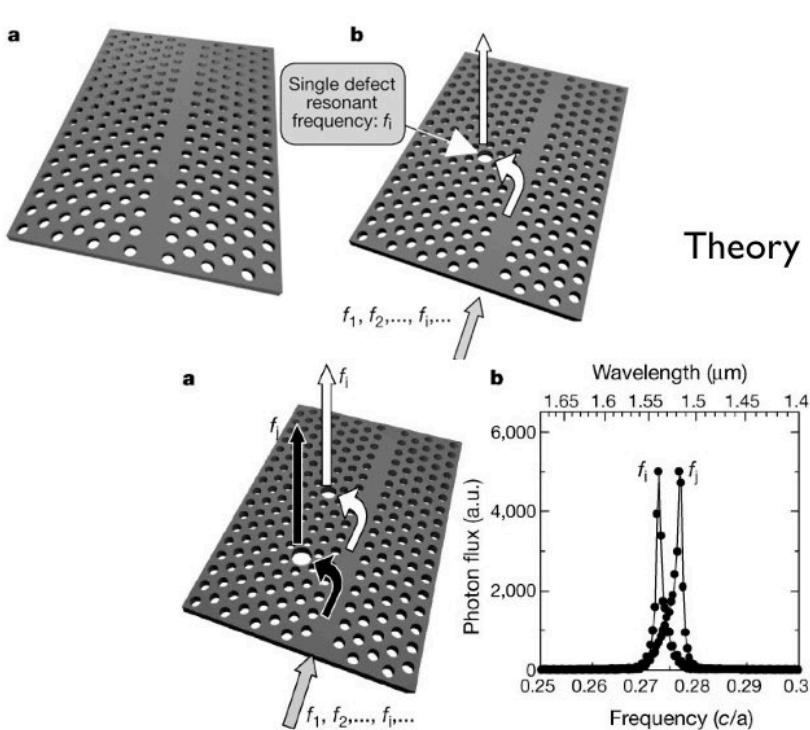
S. Fan et al., Opt. Exp., 3, 4 (1998)

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## Passive devices : Spectrometer & Demultiplexer



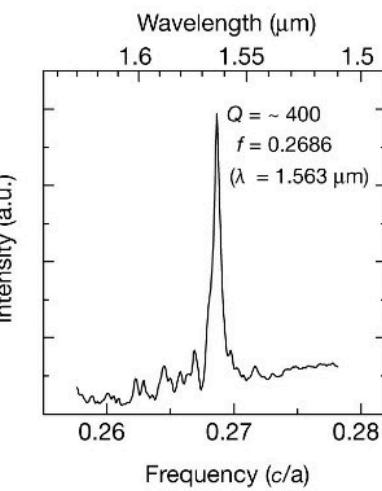
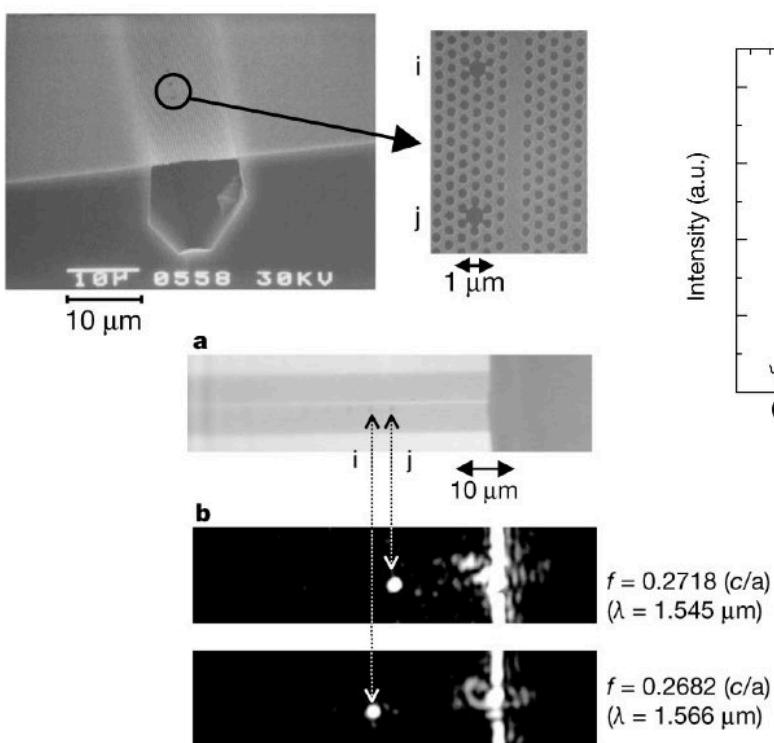
S. Noda et al., Nature, 407, 608 (2000)

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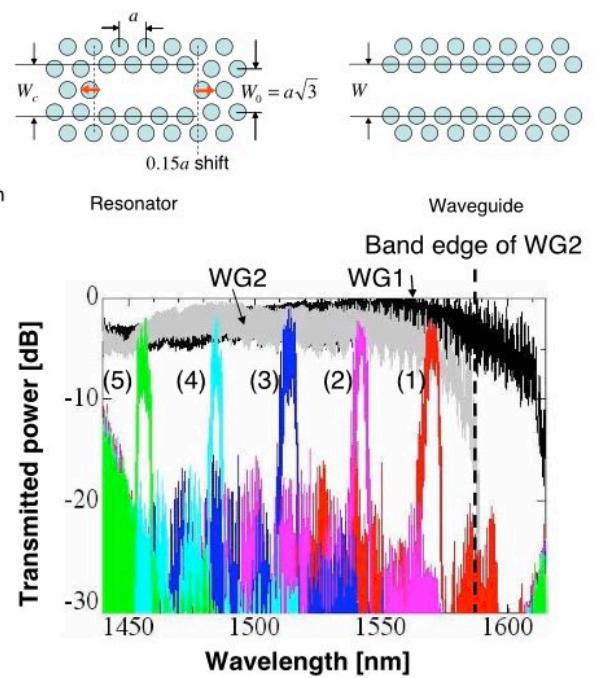
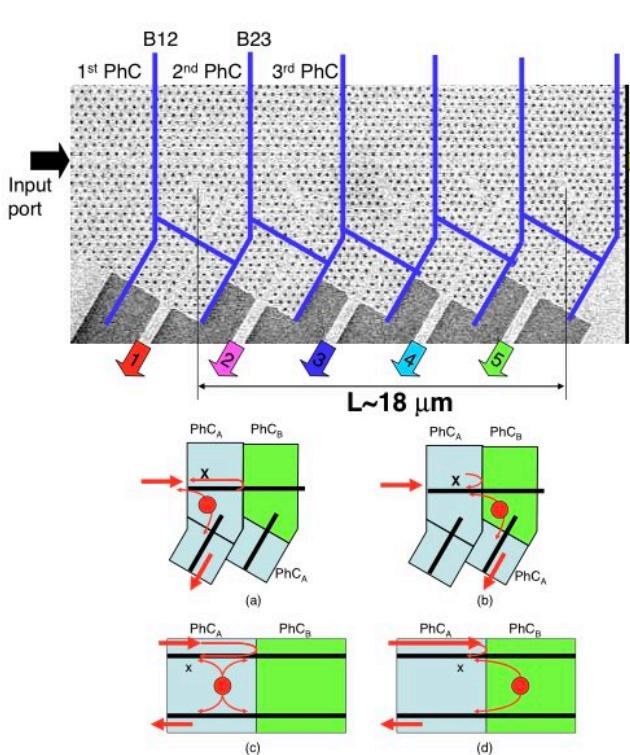
# Passive devices : Spectrometer & Demultiplexer



Experimental  
 $\Delta a = a \text{ few nm !}$   
 $Q = 400$

S. Noda et al., Nature, 407, 608 (2000)  
 Ecole doctorale photonique, Photonic crystals, PHYS-605, Romuald Houdré, Summer semester 2017

# Passive devices : Spectrometer & Demultiplexer



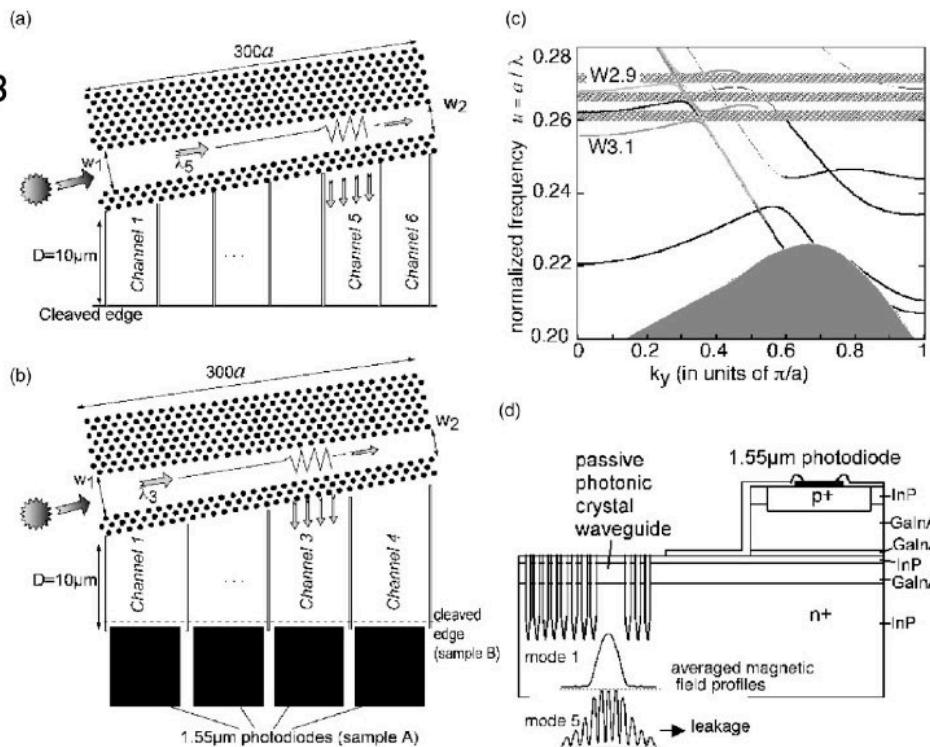
W varies from 1 down to  $0.98 W_0$   
 $Q = 400$

A. Shinya et al., Opt. Exp., 14, 12394 (2006)

see also A. Shinya et al., Opt. Exp., 13, 4202 (2005)

# Passive devices : Spectrometer & Demultiplexer

"Sieve"  
Miniband W3



E.Viasnoff-Schwoob et al., Appl. Phys. Lett., 86, 101107 (2005)

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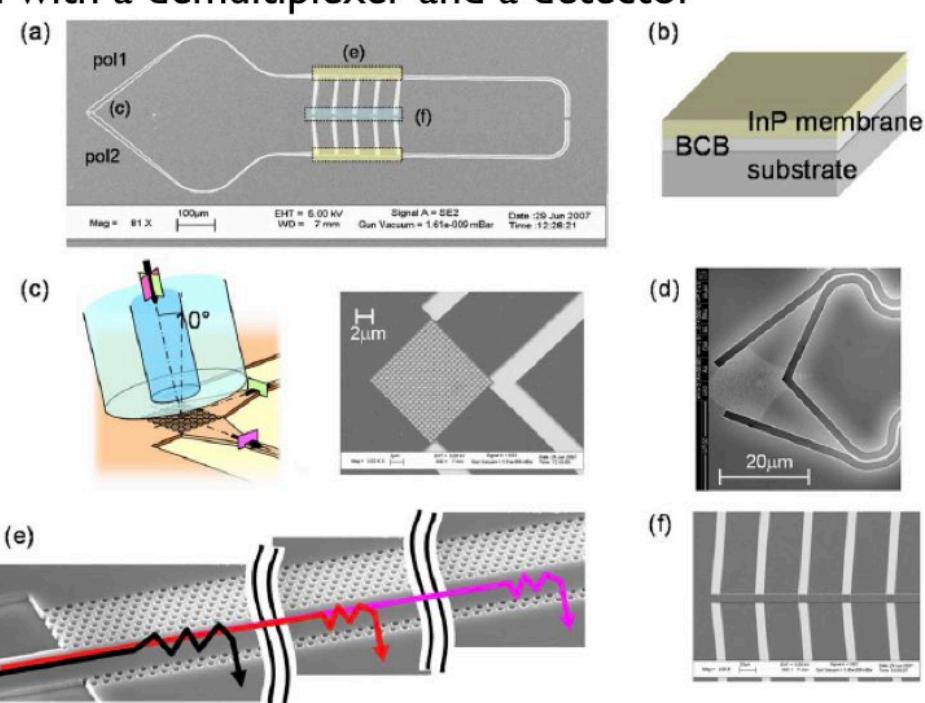
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# Passive devices : Spectrometer & Demultiplexer

Polarization diversity

Integrated with a demultiplexer and a detector



F. Van Laere et al., J. Light. Tech. 27, 417 (2009)

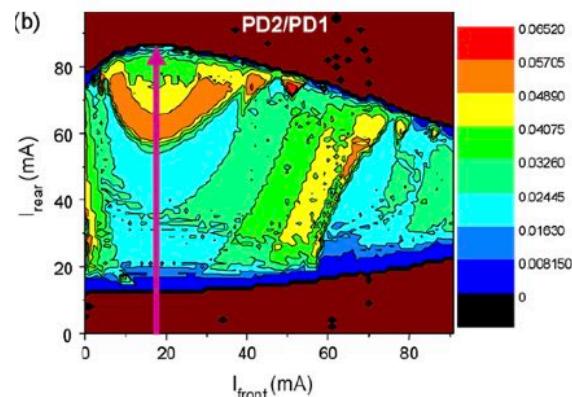
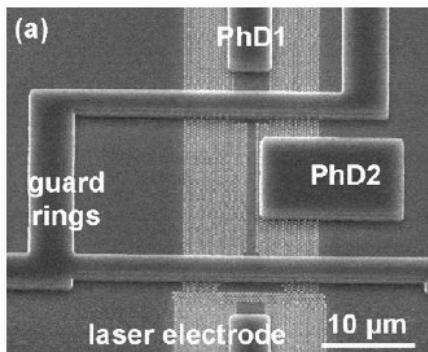
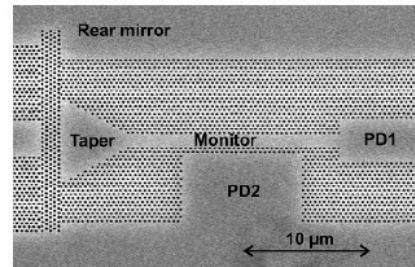
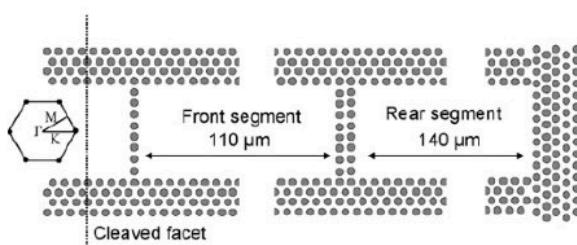
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# Passive devices : Spectrometer & Demultiplexer

Integration with a tuneable laser and wavelength monitoring



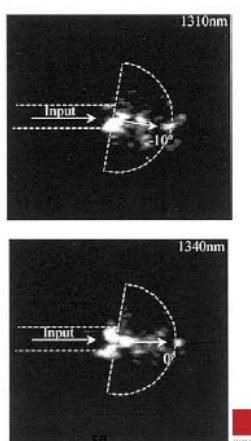
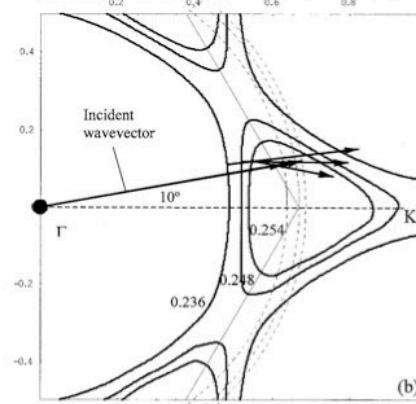
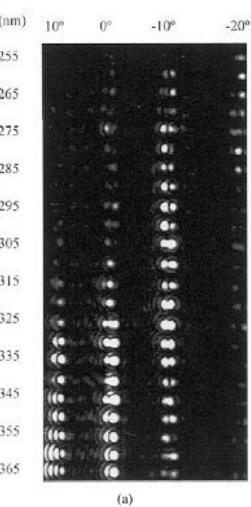
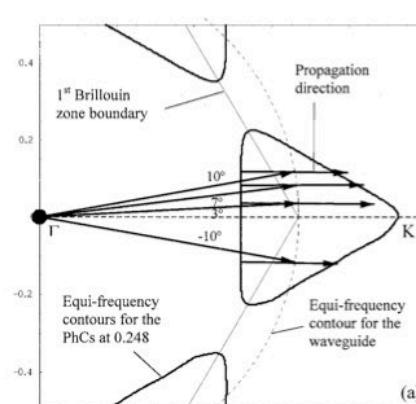
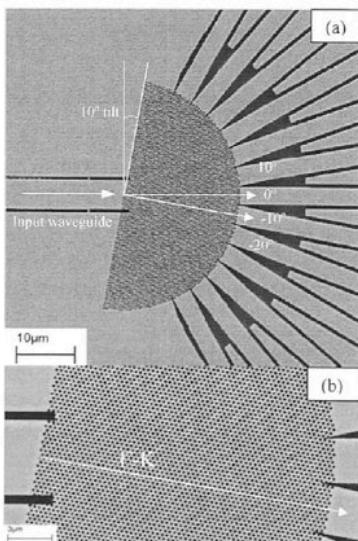
H. Hofmann et al., Photon. Nanostruct. Fundam. Appl. 6, 205 (2008)

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# Passive devices : Superprism

M. Notomi, Phys. Rev. B, 62, 10696 (2000)



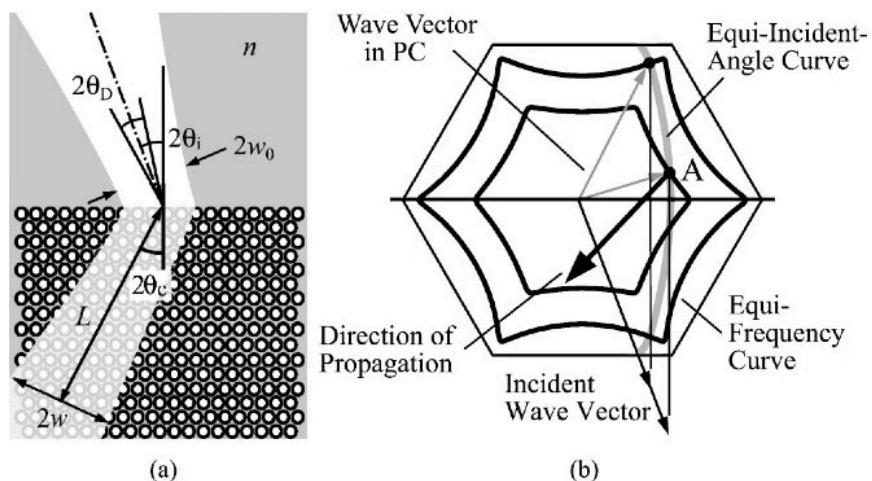
Superprism + selfcollimation  
 $\Delta\theta = 20^\circ$  over  $\Delta\lambda = 25 \text{ nm}$   
at 1300 nm

L. Wu et al., J. Light. Tech., 83, 5121 (2003)

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# Passive devices : Superprism

When considering also the optical extend of the beam (size and divergence)  
Resolution is strongly limited by the device size  
 $Q = 3700, L = 100 \mu\text{m}$



T. Baba et al., Appl. Phys. Lett., 81, 2325 (2002)

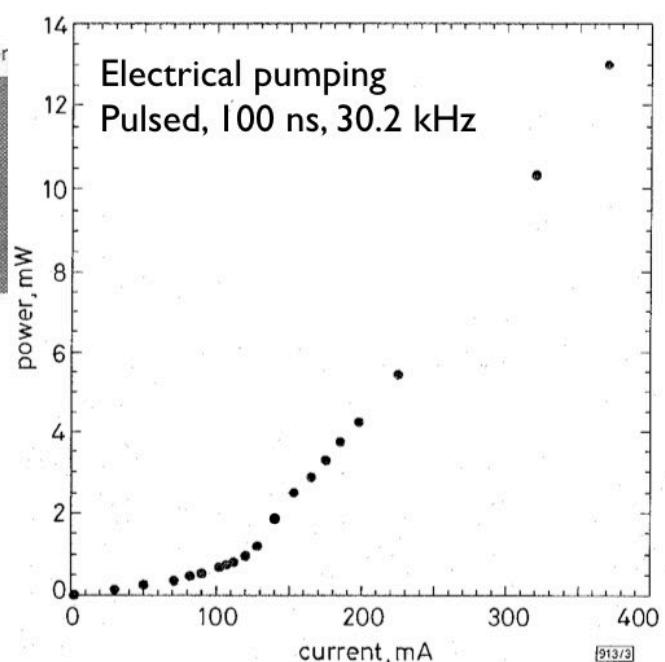
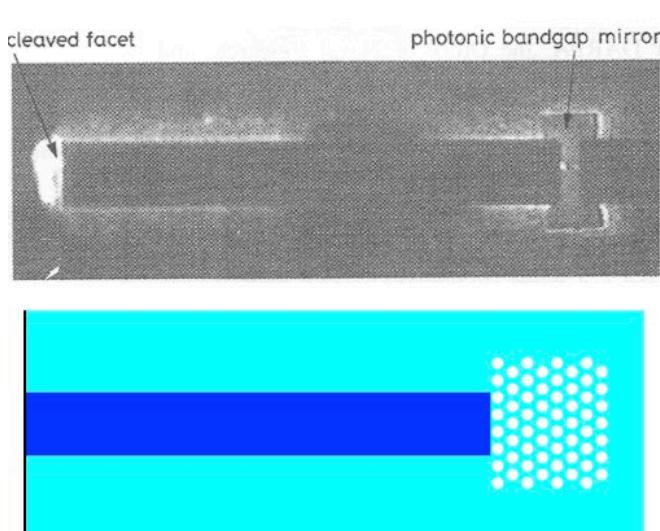
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## Lasers

First laser using photonic crystals as mirrors



J. O'Brien et al., El. Lett., 32, 2243 (1996)

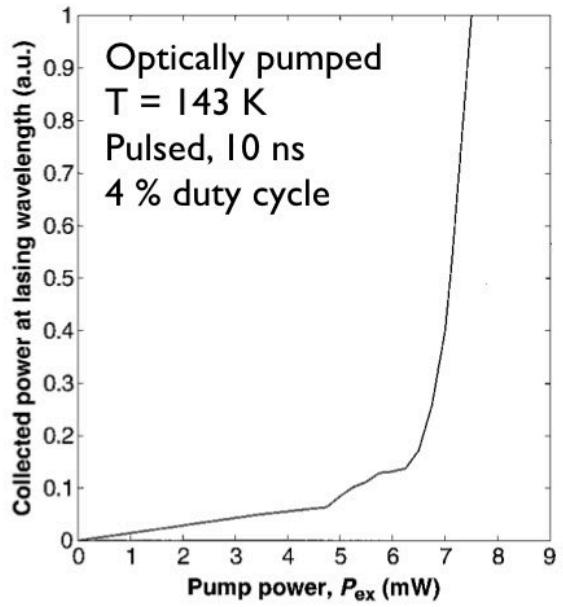
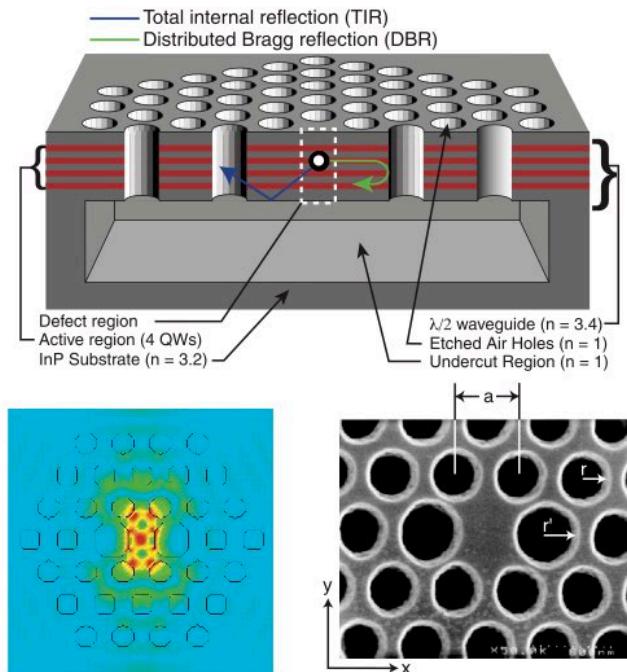
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# Lasers

## First single mode photonic crystal laser



O. Painter et al., Science., 284, 1819 (1999)

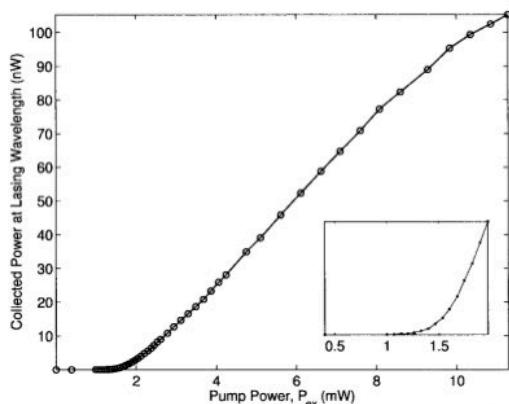
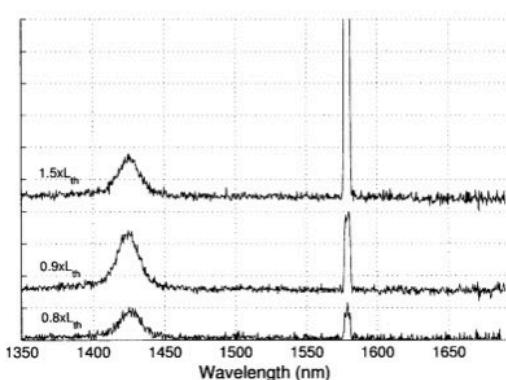
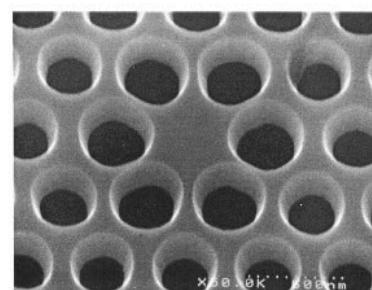
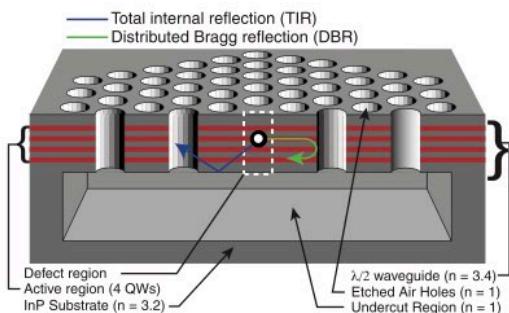
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# Lasers

## First room temperature photonic crystal laser



O. Painter et al., J. Light. Tech., 17, 2082 (1999)

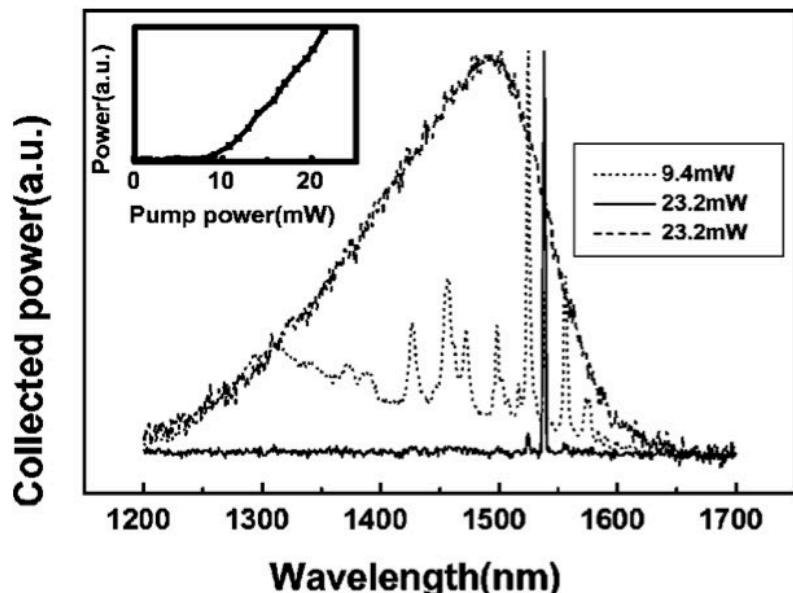
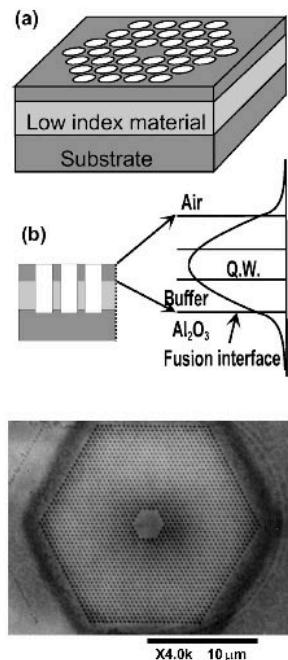
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# Lasers

## Room temperature and CW Optical pumping, AlOx



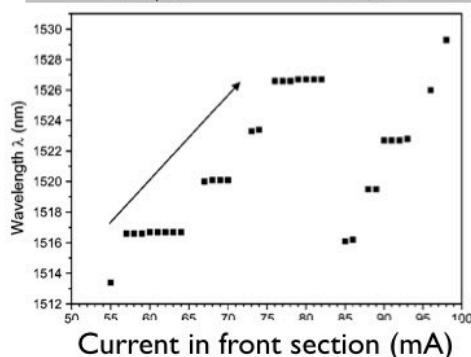
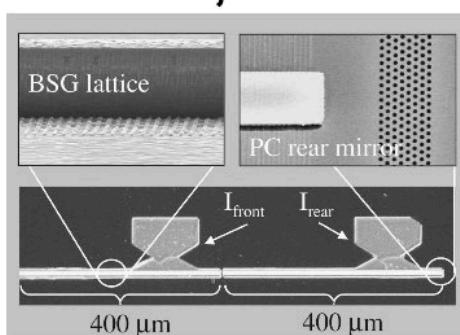
J.K. Hwang et al., Appl. Phys. Lett., 76, 2982 (2000)

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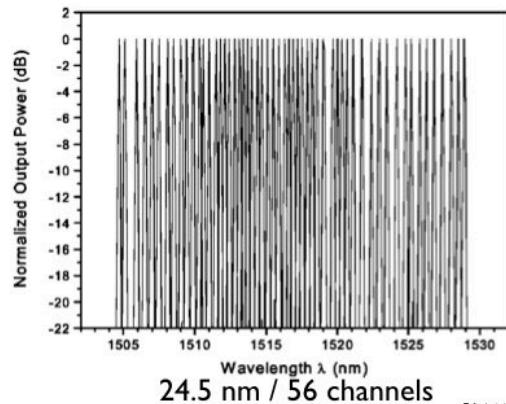
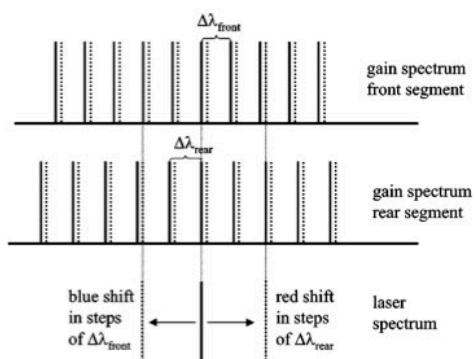
# Lasers

## Hybrid tuneable laser. Vernier effect with two cavities controlled with current injection



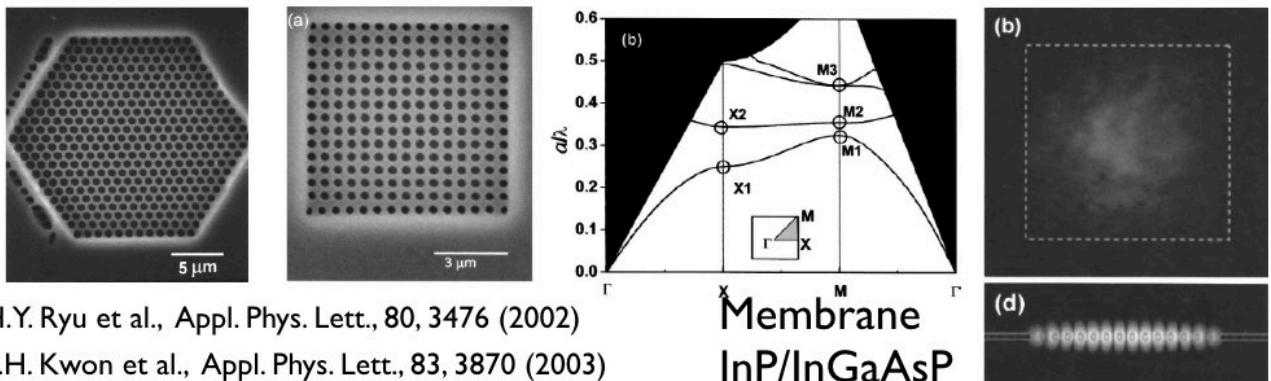
S. Mankhof et al., Appl. Phys. Lett., 82, 2942 (2003)

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## 2D distributed feedback lasers (DFB)



H.Y. Ryu et al., Appl. Phys. Lett., 80, 3476 (2002)  
S.H. Kwon et al., Appl. Phys. Lett., 83, 3870 (2003)

Laser "without" cavity  
Gain enhancement

Low threshold  
Reduced dimensions  
Better mode control  
In-plane or vertical emission

- Distributed feedback laser
- Band edge laser
- Slow light mode laser

Three languages for very similar objects

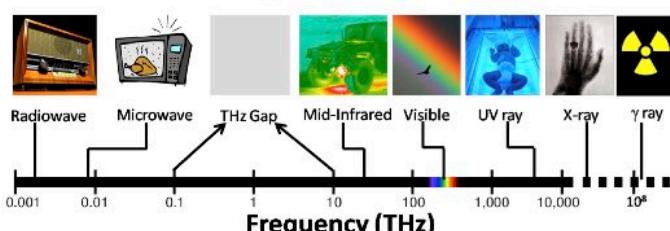
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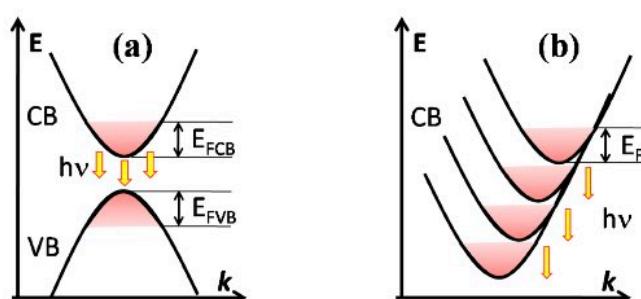


## 2D distributed feedback lasers (DFB)

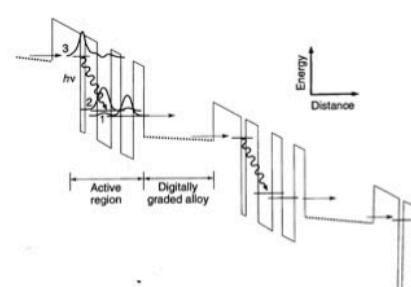
Application in the THz range ( $1 \text{ THz} \approx \lambda = 300 \mu\text{m}$ )



Quantum Cascade structures



Intersubband transitions



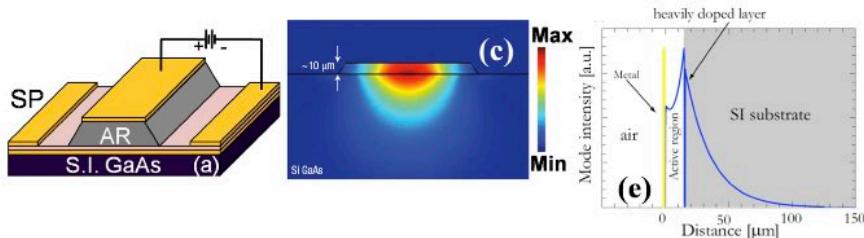
TM polarized  
→ PhC crystal structure  
→ In-plane waveguide

# 2D distributed feedback lasers (DFB)

In-plane waveguide

Index guiding as in NIR 

Single plasmon

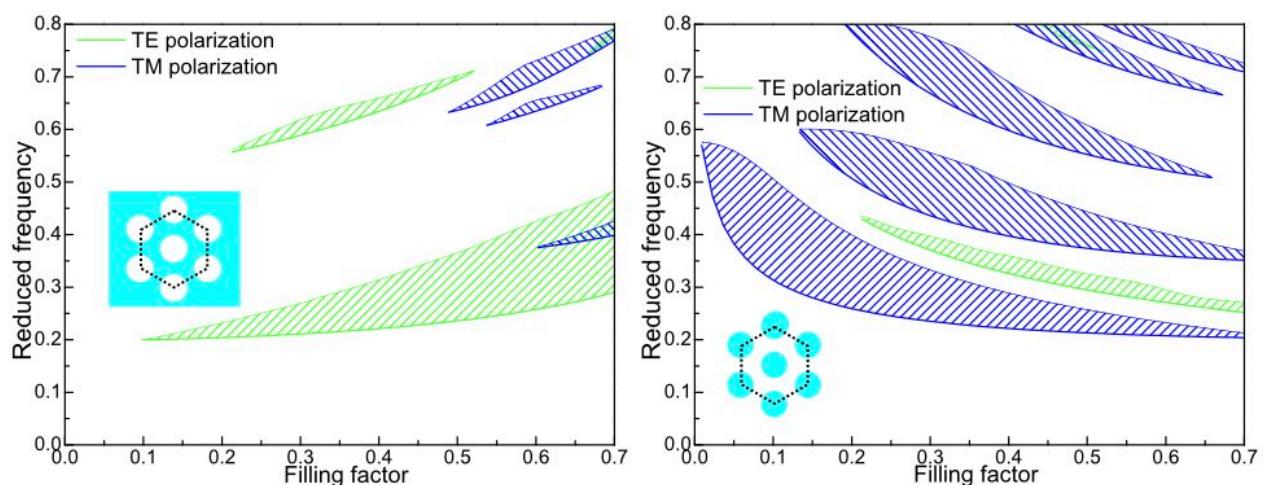


Double plasmon (metal-metal waveguide)

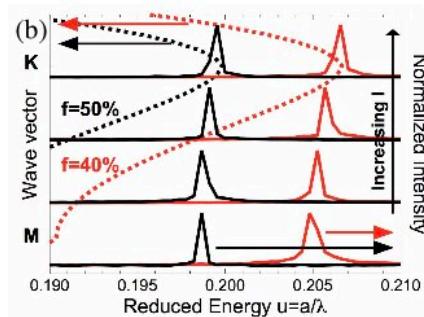
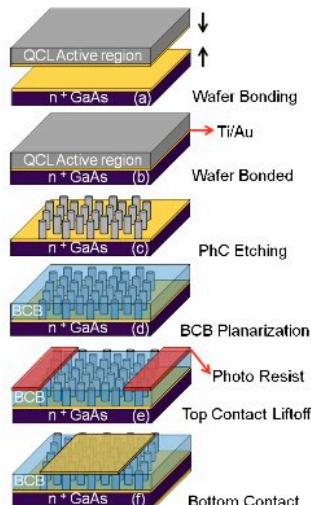
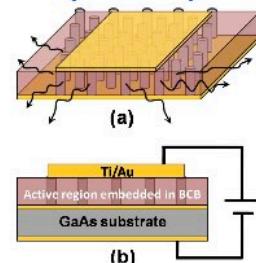
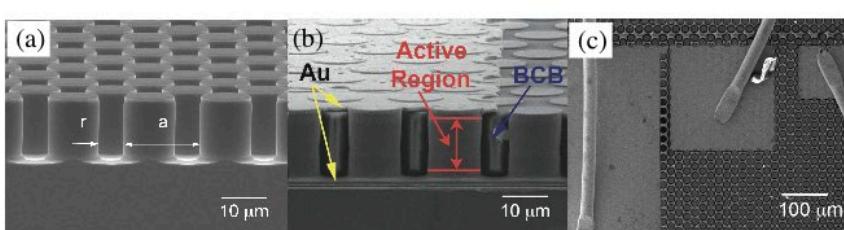
# 2D distributed feedback lasers (DFB)

PhC crystal structure

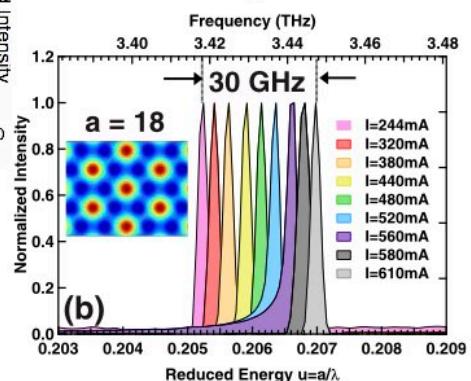
Rather lattice of pillars than holes



## 2D distributed feedback lasers (DFB)



Single mode  
Losses reduction  
Tuneability



A very challenging process

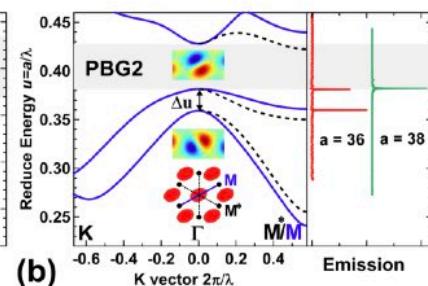
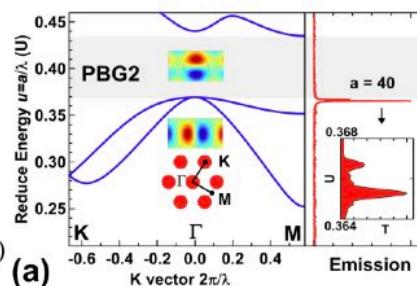
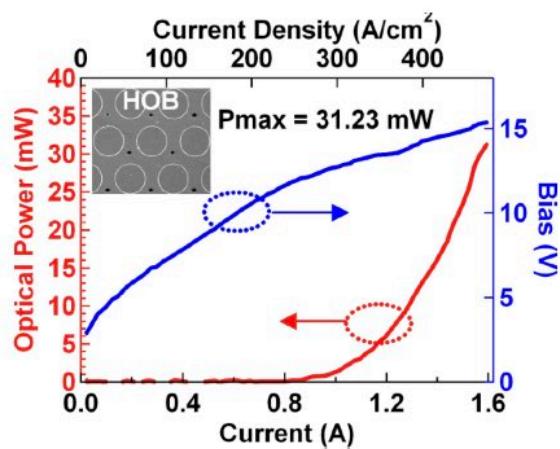
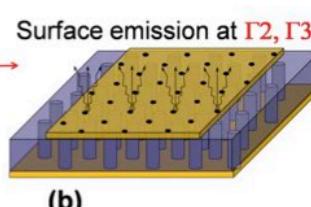
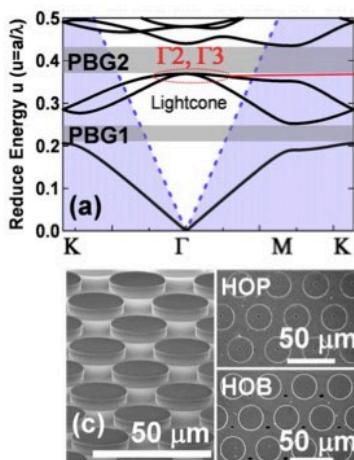
H. Zhang et al., Opt. Exp., 15, 16818 (2007) & H. Zhang et al., J. Appl. Phys., 108, 093104 (2010)  
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## 2D distributed feedback lasers (DFB)

### Surface emitting version



See also

- L. Sirigu et al., Opt. Exp., 16, 5206 (2008)
- B. S. Williams Nat. Photonics 1, 517 (2007)
- R. Colombelli et al., Science 302, 1374 (2003)
- M. Bahriz et al., Opt. Exp. 15, 5948 (2007)
- A. Benz et al., Opt. Exp. 15, 12418 (2007)

H. Zhang et al., Opt. Exp., 19, 10707 (2011)

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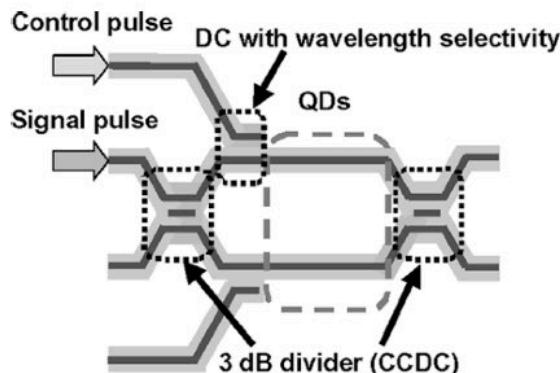
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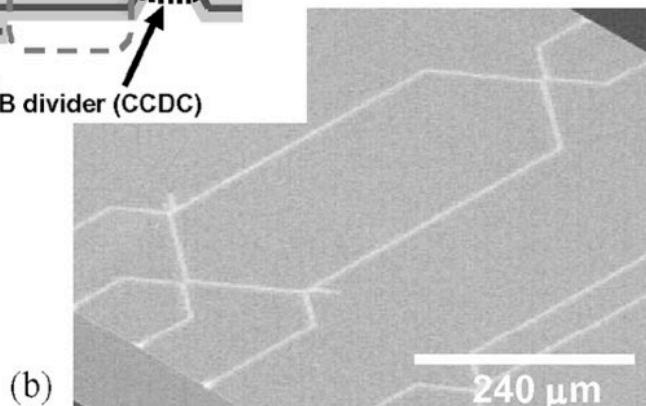
# Circuits

## FESTA Project (J)

Example of a complex circuit



(a)



(b)

Y. Sugimoto et al., IEEE J. Sel. Areas Comm., 23, 1308 (2005)

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# Circuits

## FESTA Project (J)

Example of a complex circuit

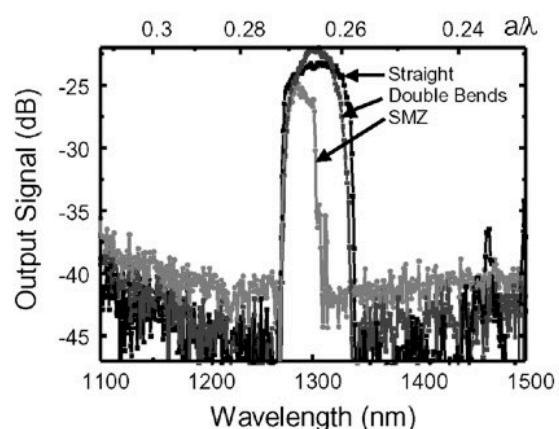
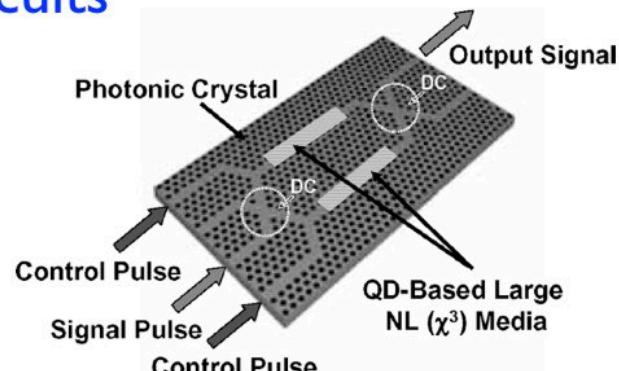
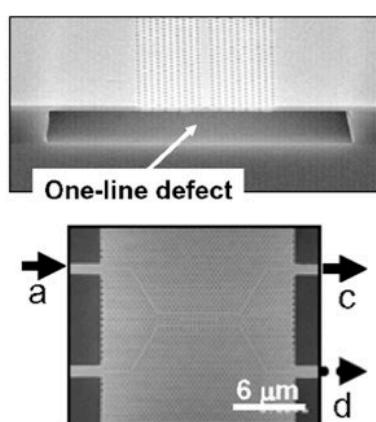
Couplers, bends ...

GaAs membrane

Quantum dots

Non-linear effects

Each building block fully characterised



Y. Sugimoto et al., IEEE J. Sel. Areas Comm., 23, 1308 (2005)

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