

## CHAPTER 5

### Adeles over a number field

#### 1. The adelic points of an algebra

Let  $A$  is a finite dimensional  $\mathbb{Q}$ -algebra (not necessarily commutative), it follows immediately that  $A(\mathbb{A}_S)$  has the structure of a  $\mathbb{A}_S$ -algebra extending the  $\mathbb{Q}$ -algebra structure on  $A$  (ie. one has  $\delta_S(x \times_A y) = \delta_S(x) \times_{A(\mathbb{A})} \delta_S(y)$  for any  $x, y \in A$ ). This is either a formal consequence of the tensor product construction or this could be checked directly as we will do now.

**1.1. General facts about finite dimensional algebras over a field.** Let  $A$  be a finite  $n$ -dimensional algebra over some field  $k$ ; the multiplication map yield an embedding

$$[\times \cdot] : \begin{array}{ccc} A & \hookrightarrow & \text{End}_k(A) \\ x & \mapsto & [\times x] : y \rightarrow xy \end{array}$$

This map is an embedding because  $[\times x](1_A) = x$  is zero iff  $x = 0$ .

To distinguish between the  $k$ -vector space  $A$  and the algebra  $A$  acting on  $A$  (and identified with a subalgebra of  $\text{End}_k(A)$  via  $[\times \cdot]$ , we will sometimes write  $V_A$  for the vector space and keep  $A$  to designate the subalgebra  $[\times \cdot]A$ .

One then defines the *trace*, *norm*, *characteristic polynomial* and minimal polynomial (the unit polynomial generating the ideal of polynomial vanishing at  $x$ , in particular it divides  $P_{char,x}$ ) of some  $x \in A$  as

$$\begin{aligned} \text{tr}_{A/k}(x) &= \text{tr}([\times x]), \quad \text{Nr}_{A/k}(x) := \det([\times x]), \\ P_{char,x}(X) &:= \det(X\text{Id}_A - [\times x]), \quad P_{min,x}(X) | P_{char,x}(X). \end{aligned}$$

**EXERCISE 5.1.** Prove that if  $A$  is a field, and  $x \neq 0$ , there is a basis of  $A$  for which the matrix of  $[\times x]$  is a product of bloc matrices of  $[\times x]$  restricted,  $k[x]$  the field generated by  $x$ . Consequently

$$P_{char,x}(X) = P_{min,x}(X)^{d/d_x}$$

where  $d_x = [k[x] : k]$  is the degree, and

$$\text{tr}_{A/k}(x) = (d/d_x)\text{tr}_{k[x]/k}(x), \quad \text{Nr}_{A/k}(x) = \text{Nr}_{k[x]/k}(x)^{d/d_x}.$$

**1.1.1. Presentation as an algebra of matrices.** One can make things a bit more concrete by choosing  $\mathcal{B} = \{\mathbf{e}_i\}$  a  $k$ -basis of  $A$ : this induces an isomorphism of vector space  $\iota : A \simeq K^n$

$$\iota : y = \sum_i y_i \mathbf{e}_i \mapsto (y_1, \dots, y_n) \in k^n$$

and an algebra embedding  $\theta : A \hookrightarrow M_n(k)$  defined by the equality

$$\theta(x)\iota(y) = \iota(xy).$$

The linear map  $\theta$  is injective because if some  $\theta(x)$  is the zero endomorphism, one has  $0 = \theta(x)\iota(1) = \iota(x)$  hence  $x = 0$ .

In such a realization, the trace, norm, characteristic and minimal polynomials are just the trace the determinant and the characteristic and minimal polynomials of  $n \times n$ -matrices.

REMARK 1.1. Observe that if we consider another basis  $\mathcal{B}'$ , the corresponding matrix algebra  $\theta'(A)$  is obtained from  $\theta(A)$  by conjugation by a fixed matrix  $m_{\mathcal{B},\mathcal{B}'} \in \mathrm{GL}_n(\mathbb{Q})$ .

**1.2. The algebra of adelic matrices  $M_n(\mathbb{A})$ .** The most basic example of adelic point of an algebra is the algebra of  $n \times n$  matrices with adelic entries equipped with the basis of elementary matrices

$$M_n(\mathbb{Q}) = \{m = (m_{i,j})_{i,j \leq n}, m_{i,j} \in \mathbb{Q}\} = \sum_{i,j \leq n} \mathbb{Q}E_{i,j},$$

where

$$E_{i,j} = (e_{i,j,k,l})_{k,l \leq n}, e_{i,j,k,l} = \delta_{i=k}\delta_{j=l}.$$

is the endomorphism which maps the  $i$ -th element of the canonical basis to the  $j$ -th element and all other elements to 0. If we replace  $\mathbb{Q}$  by the ring  $\mathbb{A}_S$  for  $S \subset \mathcal{V}_{\mathbb{Q}}$  one obtains

$$M_n(\mathbb{A}_S) = \{m = (m_{i,j})_{i,j \leq n}, m_{i,j} \in \mathbb{A}_S\} = \sum_{i,j \leq n} \mathbb{A}_S E_{i,j}$$

$$\prod'_{v \in S} M_n(\mathbb{Q}_v) = \{(m_v)_v, m_v \in M_n(\mathbb{Q}_v), m_p \in M_n(\mathbb{Z}_p) \text{ for a.e. } p \in S\}$$

where

$$M_n(\mathbb{Z}_v) = \sum_{i,j \leq n} \mathbb{Z}_v E_{i,j}$$

equipped with the usual addition and multiplication laws.

REMARK 1.2. The lattice  $M_n(\mathbb{Z}_v)$  is defined slightly more intrinsically as the set  $\mathrm{End}_{\mathbb{Z}_v}(\mathbb{Z}_v^n)$  of  $\mathbb{Z}_v$ -linear endomorphisms of the lattice  $\mathbb{Z}_v^n$  or equivalently the stabilizer of the lattice  $\mathbb{Z}_v^n$  inside  $M_n(\mathbb{Q}_v)$ .

If  $V$  is a general  $\mathbb{Q}$ -vector space with basis  $\mathcal{B} = \{\mathbf{e}_i, i \leq n\}$ , we may consider the algebra of linear maps on  $V$

$$\mathrm{End}_{\mathbb{Q}}(V) = \bigoplus_{i,j \leq n} \mathbb{Q}E_{i,j}$$

where  $E_{i,j}$  is the linear map defined by

$$E_{i,j}(\mathbf{e}_k) = \delta_{k=i}\mathbf{e}_j.$$

We have

$$\begin{aligned} \mathrm{End}_{\mathbb{Q}}(V)(\mathbb{A}) &= \mathrm{End}_{\mathbb{A}}(V(\mathbb{A})) = \prod'_v \mathrm{End}_{\mathbb{Q}_v}(V_v) \\ &= \{(m_v)_v, m_v \in \mathrm{End}_{\mathbb{Q}_v}(V_v), m_p \in \mathrm{End}_{\mathbb{Z}_p}(L_{\mathcal{B},p}) \text{ for a.e. } p\} \end{aligned}$$

where

$$L_{\mathcal{B},p} = \sum_i \mathbb{Z}_p \mathbf{e}_i, \quad \mathrm{End}_{\mathbb{Z}_p}(L_{\mathcal{B},p}) = \sum_{i,j} \mathbb{Z}_p E_{i,j}.$$

and  $\mathrm{End}_{\mathbb{Z}_p}(L_{\mathcal{B},p})$  is precisely the stabilizer of the lattice  $L_{\mathcal{B},p}$  inside  $\mathrm{End}_{\mathbb{Q}_v}(V_v)$ .

**1.3. Topology of  $A(\mathbb{A})$ .** Let us return to the situation of  $A$  being a finite dimensional algebra over  $\mathbb{Q}$ . As explained above, the choice of some  $\mathbb{Q}$ -basis  $\mathcal{B}$  of  $V_A$ , yields an linear isomorphism  $\iota : V_A \simeq \mathbb{Q}^n$  in which  $\mathcal{B}$  get identified with the canonical basis of  $\mathbb{Q}^n$  and which induces a  $\mathbb{Q}$ -algebra embedding

$$\theta : A \hookrightarrow \theta(A) \subset M_n(\mathbb{Q}).$$

Therefore  $A(\mathbb{A})$  is identified with the  $\mathbb{A}$ -subalgebra  $\theta(A)(\mathbb{A}) \subset M_n(\mathbb{A})$ . Since the lattice  $L_{\mathcal{B}} = \sum \mathbb{Z}\mathbf{e}_i$  is identified with  $\mathbb{Z}^n$  under  $\iota$  one has

$$A(\mathbb{A}) = \prod'_v A(\mathbb{Q}_v) = \{(x_v)_v, x_v \in A(\mathbb{Q}_v), x_p \in A(L_{\mathcal{B},p}) \text{ for a.e. } p\}$$

where

$$A(L_{\mathcal{B},v}) = \{x_v \in A(\mathbb{Q}_v), x_v \cdot L_{\mathcal{B},v} \subset L_{\mathcal{B},v}\} \simeq \theta(A)(\mathbb{Q}_v) \cap M_n(\mathbb{Z}_v)$$

is the stabilizer of the lattice  $L_{\mathcal{B},v}$  in  $A(\mathbb{Q}_v)$ .

In particular  $A(L_{\mathcal{B},v})$  is a lattice and the collection of local lattices  $(A(L_{\mathcal{B},v}))_v$  obtained from the global lattice

$$\theta(A) \cap M_n(\mathbb{Z}).$$

The  $\mathbb{A}$ -algebra as a closed subset of  $M_n(\mathbb{A})$  is equipped with the adelic topology which we transport to  $A(\mathbb{A})$  via  $\theta$ . Observe again that this topology does not depend on the choice of the basis  $\mathcal{B}$  of  $A$ : if one consider another basis,  $\theta'(A)$  is obtained from  $\theta(A)$  by conjugation by an element of  $\mathrm{GL}_n(\mathbb{Q})$  which induce an homeomorphism between  $\theta(A)(\mathbb{A})$  and  $\theta'(A)(\mathbb{A})$ .

Since matrix multiplication and addition are continuous on  $M_n(\mathbb{A})$ , they are continuous on  $\theta(A)(\mathbb{A})$  and therefore

**PROPOSITION 5.1.** *Equipped with the adelic topology  $A(\mathbb{A})$  has the structure of locally compact topological ring in which  $\mathbb{A}(\mathbb{Q})$  embeds as a discrete subring and in which the trace norm and characteristic polynomial are continuous maps.*

As for the ideles, some care is necessary to define the topology on the group of invertible elements of  $A(\mathbb{A})$ ,

$$A(\mathbb{A})^\times = \prod'_v A(\mathbb{Q}_v)^\times = \{(x_v)_v, x_v \in A(\mathbb{Q}_v)^\times, \theta(x_p) \in \mathrm{GL}_n(\mathbb{Z}_p)^\times \text{ for a.e. } p\}.$$

We observe that by Cramer formula, for any ring  $R$  a matrix  $x \in M_n(R)$  is invertible iff  $\det x \in R^\times$ ; therefore

$$A(\mathbb{A}_V)^\times = \{x \in A(\mathbb{A}_V), \mathrm{Nr}_{A/k}(x) \in \mathbb{A}_V^\times\}.$$

We may therefore identify  $A(\mathbb{A}_V)^\times$  with the following closed subset:

$$\{(x, t) \in A(\mathbb{A}_V) \times \mathbb{A}_V, \mathrm{Nr}_{A/k}(x)t = 1\}$$

as for the ideles, the adelic topology on  $A(\mathbb{A}_V)^\times$  is the topology corresponding to the relative topology under this identification.

**PROPOSITION 5.2.** *Equipped with this topology,  $A(\mathbb{A}_V)^\times$  is a locally compact topological group and embeds as a closed subgroup of  $A(\mathbb{A})$ . The group  $A^\times$  embeds as a discrete subgroup of  $A(\mathbb{A})^\times$*

## 2. Adelic points of a number field

We now assume that the algebra  $A$  is a number field  $K$  (a finite extension of  $\mathbb{Q}$ ) and discuss in greater detail the structure of  $K(\mathbb{A})$  which is sometimes noted  $\mathbb{A}_K$  and is called the *ring of adèles of  $K$* . In particular we discuss the structure of the  $\mathbb{Q}_v$ -algebra  $K_v = K(\mathbb{Q}_v) = K \otimes_{\mathbb{Q}} \mathbb{Q}_v$  for  $v \in \mathcal{V}$ .

**2.1. Etale algebras.** Let  $k$  be a field and  $A$  be a  $k$ -algebra. The trace  $\text{tr}_{A/k}$  linear form defines a bilinear form (called the trace form) on  $A \times A$  as follows

$$\langle x, y \rangle := \text{tr}_{A/k}(xy).$$

DEFINITION 5.1. *A finite dimensional  $k$ -algebra  $A$  is etale if the trace form is non-degenerate; ie. if the following linear map to the dual  $A^*$*

$$x \in A \mapsto x^* \in A^* : y \mapsto x^*(y) = \langle x, y \rangle = \text{tr}_{A/k}(xy)$$

*is an isomorphism or equivalently if for some (hence any) basis of  $A$*

$$\det((\langle \mathbf{e}_i, \mathbf{e}_j \rangle)_{i,j \leq n}) \neq 0.$$

One has the following fundamental result

THEOREM 5.1. *A commutative etale  $k$ -algebra  $A$  decomposes as a  $k$ -algebra into a product of finite field extensions of  $k$ ,*

$$A \simeq \prod_w K_w.$$

*This decomposition is unique up to isomorphism.*

PROOF. We achieve this decomposition by decomposing the vector space  $V_A (= A)$  into a direct sum of non-trivial  $A$ -invariant ( $A.V \subset V$ ) vector spaces

$$V_A = \bigoplus_w V_w$$

(which are minimal for this property) therefore we will have the (block matrices) decomposition

$$\text{End}_k(V_A) = \prod_w \text{End}_k(V_w)$$

and therefore  $A$  will decompose as

$$A = \prod_w K_w \subset \prod_w \text{End}_k(V_w) \text{ with } K_w = A|_{V_w}$$

the image of the restricted action of  $A$  on the subspace  $V_w$ . The minimality of the  $V_w$  then shows that the  $K_w$  are fields.

DEFINITION 5.2. *A subspace  $V \subset V_A$  is  $A$ -irreducible (for the action of  $A$  on  $V_A$ ) if it is non-zero,  $A$ -invariant ( $A.V \subset V$ ) and minimal for this property: any  $A$ -invariant subspace of  $V$  is either zero or  $A$ .*

Let us show that  $V_A$  decomposes as a direct sum of  $A$ -irreducible subspaces. Let  $V \subset V_A$  be a non-zero  $A$ -invariant subspace and of minimal dimension.  $V$  is a clearly irreducible. Let  $A|_V = A \cap \text{End}_k(V)$  be the image of  $A$  in  $\text{End}_k(V)$ ; we claim that  $A|_V$  is a field ( $A|_V^* = A|_V - \{0\}$ ). Indeed suppose that  $x \in A$  acts non-trivially on  $V$  ( $x.V \neq \{0\}$ ) and let  $V' = \ker[\times x]|_V$ ; by definition  $V' \neq V$  and since  $A$  is commutative  $A.V' = V'$  (ie.  $V'$  is

$A$ -invariant) it follows (by minimality of  $\dim_k V$ ) that  $V'$  is trivial so that  $[\times x]_{|V}$  is injective hence invertible. This proves that  $A_{|V}$  is a field. Let

$$V^\perp = \{y \in V_A, \langle y, V \rangle = 0\}$$

be the subspace orthogonal to  $V$ . Since the trace form is non-degenerate one has an orthogonal decomposition

$$V_A = V \oplus V^\perp$$

and for all  $x \in A$  and  $y \in V^\perp$

$$\langle x.y, V \rangle = \text{tr}(xyV) = \text{tr}(yxV) \subset \text{tr}_{A/k}(yV) = \{0\}$$

so that  $x.y \in V^\perp$ , therefore  $V^\perp$  is an  $A$ -invariant subspace of  $A$ . Repeating this argument with  $V^\perp$  we obtain a direct sum decomposition of  $V_A$  into irreducible subspaces

$$V = \bigoplus_w V_w \text{ hence } \text{End}_k(A) \simeq \prod_w \text{End}_k(V_w)$$

hence the decomposition

$$A \simeq \prod_w A_{|V_w} \subset \prod_w \text{End}_k(V_w)$$

where  $A_{|V_w} = K_w$  is a field. such decomposition is unique because one has two such decompositions

$$V_A = \bigoplus_w V_w = \bigoplus_{w'} V'_{w'}$$

by irreducibility we will get

$$V_w \cap V'_{w'} = \begin{cases} 0 \\ V_w = V'_{w'} \end{cases}$$

because  $V_w \cap V'_{w'} \subset V_w$  is an  $A$ -invariant subspace of an irreducible subspace.  $\square$

Let

$$d_w := \dim_k(K_w)$$

be the degree of  $K_w$ , we have

$$\dim_k A = \sum_w d_w;$$

if we denote by

$$(x_w)_w \in \prod_w K_w$$

the image of  $x \in A$  under the above isomorphism one has

$$(2.1) \quad \text{tr}_{A/k}(x) = \sum_w \text{tr}_{K_w/k}(x_w),$$

$$(2.2) \quad \text{Nr}_{A/k}(x) = \prod_w \text{Nr}_{K_w/k}(x_w),$$

$$(2.3) \quad P_x(X) = \prod_w P_{x_w}(X).$$

**EXERCISE 5.2.** Prove that if  $A = K$  is a field of characteristic  $> \dim_k K$ ,  $K$  is etale.

**EXERCISE 5.3.** Prove that if  $A$  is a field,  $A$  is etale iff  $A/k$  is separable. For this consider a basis  $\mathcal{B}$  of the shape  $\{1, x, \dots, x^{n-1}\}$

EXERCISE 5.4. Prove that if  $A$  is étale and monogenic (of the shape  $A = k[x]$  for some  $x \in A$ ), the above decomposition is obtained as follows: let  $P_{char,x}$  be the characteristic polynomial of  $x$  then  $P_{char,x}$  has no multiple roots (in an algebraic closure of  $k$ ) and if we decompose it into a product of irreducible polynomials,

$$P_{char,x}(X) = \prod_w P_w(X),$$

one has

$$A \simeq \prod_w K_w \text{ where } K_w \simeq k[X]/P_w(X)k[X].$$

**2.2. The local algebras  $K_v$ .** We return to the special case of  $k = \mathbb{Q}$  and

$$A = K_v = K \otimes_{\mathbb{Q}} \mathbb{Q}_v$$

for  $K$  a finite field extension of  $\mathbb{Q}$  of degree  $n$ . The algebra  $K_v$  is étale because  $K$ , as a field of characteristic zero is étale and therefore the determinant of the trace form matrix is not zero in some  $\mathbb{Q}$ -base of  $K$  hence in some  $\mathbb{Q}_v$ -base of  $K_v$ .

By Theorem 5.1, one has a  $\mathbb{Q}_v$ -algebra isomorphism

$$K_v \simeq \prod_{w \subset \mathcal{V}_{K,v}} K_w, \quad d_w = [K_w : \mathbb{Q}_v], \quad \sum_{w \subset \mathcal{V}_v} d_w = n$$

where the  $K_w$  are finite field extension of  $\mathbb{Q}_v$  indexed by some suitable finite set  $\mathcal{V}_v$ . Since  $K$  is a field the projection to the  $w$ -factor yields a  $\mathbb{Q}$ -algebra embedding

$$\delta_w : K \rightarrow K_w.$$

Moreover since  $K$  is dense in  $K_v$  its image by  $\delta_w$  is dense in  $K_w$ .

As we have seen, for any  $K_w$  there is a unique way to extend the  $v$ -adic absolute value  $|\cdot|_v$  from  $\mathbb{Q}_v$  to  $K_w$  and it is given by the formula

$$|\cdot|_w = |\mathrm{Nr}_{K_w/\mathbb{Q}_v}(\cdot)|_v^{1/d_w}.$$

**2.3. The local ring of integers.** Suppose that  $v = p$  is finite; we let

$$\mathcal{O}_w = B_c(0, 1)_w$$

be the closed unit ball for the valuation  $|\cdot|_w$ .

**THEOREM 5.2.** *One has the following*

- (1) *The set  $\mathcal{O}_w$  is a subring of  $K_w$  and a lattice in  $K_w$  (in particular open-compact). Any compact subring of  $K_v$  is contained into  $\mathcal{O}_w$ .*
- (2) *The group of units is the unit sphere*

$$\mathcal{O}_{K_w}^\times = \{x_w \in K_w, |x_w|_w = 1\}.$$

- (3) *The ring  $\mathcal{O}_w$  is a principal ideal ring whose unique maximal ideal is the open unit ball*

$$\mathfrak{p}_w := B_o(0, 1)_w \{x_w \in K_w, |x_w|_w < 1\}.$$

- (4) *The latter is generated by any element  $\pi_w$  in  $\mathfrak{p}_w$  of maximal absolute value; any such element is called a uniformizer of  $\mathcal{O}_w$ . Let  $e_w \in \mathbb{N}_{\geq 1}$  be such that  $p\mathcal{O}_w = \pi_w^{e_w}\mathcal{O}_w$  or equivalently  $|\pi_w|_w = p^{-1/f_w}$ ; that integer is called the ramification index of  $K_w$ .*
- (5) *The quotient  $\mathcal{O}_w/\mathfrak{p}_w$  is an extension of the finite field  $\mathbb{F}_p = \mathbb{Z}_p/p\mathbb{Z}_p$  called the residual field of  $K_w$ . Its degree is noted  $f_w$  and is called the inertia degree of  $K_w$ .*
- (6) *One has the relation  $e_w f_w = d_w$ .*

(7) The ring  $\mathcal{O}_{K_w}$  is exactly the set of elements of  $K_w$  which are roots by some monic  $\mathbb{Z}_p$ -integral polynomial, or equivalently, whose characteristic is  $\mathbb{Z}_p$ -integral or equivalently whose minimal polynomial is  $\mathbb{Z}_p$ -integral.

PROOF. □

From the above theorem one has

$$|\mathrm{Nr}_{K_w/\mathbb{Q}_p}(\pi_w)|_p = p^{-e_w} = |\mathcal{O}_{K_w}/\mathfrak{p}_w|^{-1}.$$

Since the absolute value  $|\cdot|_w$  is non-archimedean, the map

$$x_w \in K_w \mapsto |x_w|_{w,n} = |x|_w^{d_w} = |\mathrm{Nr}_{K_w/\mathbb{Q}_v}(x_w)|_v$$

is also an absolute value equivalent to  $|\cdot|_w$ ; because of the identity

$$|\pi_w|_{w,n} = |\mathcal{O}_{K_w}/\mathfrak{p}_w|^{-1},$$

$|\cdot|_{w,n}$  is called the normalized absolute value at  $w$ .

**2.4. Archimedean absolute values.** When  $v = \infty$ ,  $K_w$  is a finite algebraic extension of  $\mathbb{R}$  so is either  $\mathbb{R}$  or  $\mathbb{C}$  and  $d_w$  is either 1 or 2. In the first case the absolute value is unchanged, and when  $K_w = \mathbb{C}$ ,  $z = x + iy$ , one has

$$|z|_w = |\mathrm{Nr}_{\mathbb{C}/\mathbb{R}}(z)|^{1/2} = |x^2 + y^2|_{\infty}^{1/2} = |z\bar{z}|_{\infty}^{1/2} = |z|_{\mathbb{C}}$$

is the usual absolute value on the complex numbers and one defines the normalized absolute value as

$$|z|_{\mathbb{C},n} = |z|_{\mathbb{C}}^2 = x^2 + y^2.$$

REMARK 2.1. Observe that  $|z|_{\mathbb{C},n}$  does not satisfy the triangle inequality so in this case there is a slight abuse of notations.

**2.5. Comparison with the intrinsic construction of the ring of adèles of a number field.** By restriction this defines an absolute value on  $K$ .

THEOREM 5.3. *The absolute value  $|\cdot|_w$  for  $w$  varying over the set  $\mathcal{V}_{K,v}$  form a set of representatives of the equivalence classes of absolute values on  $K$  whose restriction to  $\mathbb{Q}$  is equivalent to  $|\cdot|_v$ . Consequently the set  $\bigcup_{v \in \mathcal{V}_{\mathbb{Q}}} \mathcal{V}_w = \mathcal{V}_K$  is a set of representatives of the equivalence classes of all possible absolute values on  $K$  (the set of places of  $K$ ).*

PROOF. Exercise. □

DEFINITION 5.3. *We say that the absolute values in  $\mathcal{V}_{K,v}$  is the set of absolute values  $w$  above  $v$  or which divide  $v$  and this is written  $w|v$ . Consequently*

$$K_v = \prod_{w|v} K_w.$$

*If  $v = p$  is finite the absolute value  $w$  will be called finite (or non-archimedean) and infinite (or archimedean) otherwise. The set of finite places is noted  $\mathcal{V}_{K,f}$  and the infinite ones  $\mathcal{V}_{K,\infty}$*

PROOF. Exercise. □

From this discussion we get two equivalent constructions of the ring of adèles of  $K$ : choosing  $\mathcal{B}$  a  $\mathbb{Q}$ -basis of  $K$  and setting  $L$  the associated lattice we have

$$K(\mathbb{A}) = \mathbb{A}_K = \prod'_{v \in \mathcal{V}_{\mathbb{Q}}} K_v = \{(x_v) \in K \otimes_{\mathbb{Q}} \mathbb{Q}_v, x_p \in L_p \text{ for a.e. } p\}$$

and a more intrinsic one

$$K(\mathbb{A}) = \mathbb{A}_K = \prod'_{w \in \mathcal{V}_K} K_w = \{(x_w)_{w \in \mathcal{V}_K}, x_w \in K_w, x_w \in L_w \text{ for a.e. } w \text{ finite}\}.$$

here  $L_w$  is the closure of  $L$  inside  $K_w$ .

### 2.5.1. The adelic absolute value.

DEFINITION 5.4. *The adelic absolute value of  $K$  is the continuous function on  $\mathbb{A}_K^\times$  defined by*

$$|\cdot|_{\mathbb{A}_K} : x \in \mathbb{A}_K^\times \mapsto |\mathrm{Nr}_{K/\mathbb{Q}}(x)|_{\mathbb{A}} \in \mathbb{R}_{>0}.$$

We have for  $x = (x_v)_{v \in \mathcal{V}_\mathbb{Q}} = (x_w)_{w \in \mathcal{V}_K}$

$$|x|_{\mathbb{A}_K} = \prod_v |\mathrm{Nr}_{K_v/\mathbb{Q}_v}(x_v)|_v = \prod_w |\mathrm{Nr}_{K_w/\mathbb{Q}_w}(x_w)|_w = \prod_w |x_w|_w^{d_w} = \prod_w |x_w|_{w,n}.$$

In particular we obtain

THEOREM 5.4 (Artin product formula). *For any  $x_K \in K^\times$*

$$|x_K|_{\mathbb{A}_K} = \prod_w |x_K|_{w,n} = |\mathrm{Nr}_{K/\mathbb{Q}}(x_K)|_{\mathbb{A}} = 1.$$

Since the adelic absolute value is continuous, its kernel

$$\mathbb{A}_K^{(1)} = \{x \in \mathbb{A}_K^\times, |x|_{\mathbb{A}_K} = 1\}$$

is a closed subgroup. We have the following important generalization of

THEOREM 5.5. *The subgroup  $K^\times$  is a discrete subgroup of  $\mathbb{A}_K^{(1)}$  and the quotient  $K^\times \backslash \mathbb{A}_K^{(1)}$  is compact.*

## 3. Classical Algebraic number Theory vs. Adelic Number Theory

As is proven in any classical course in algebraic number theory, the field  $K$  contains a very specific subring which is in many respect canonical: this ring is defined algebraically as the *integral closure* of  $\mathbb{Z}$  in  $K$ , that is the set of elements of  $K$  which are annihilated by a unitary polynomial with integral coefficients: this ring is called *the ring of integers of  $K$* .

In this section we retrieve these from the adelic viewpoint and discuss its main properties.

### 4. The ring of integers as an intersection of balls

We consider the intersection of the unit balls associated to the various absolute values  $w$  on  $K$ , or in other terms the local rings

$$\mathcal{O}_w = B_c(0, 1)_w = \{x_w \in K_w, |x_w|_w \leq 1\},$$

which we denote by

$$\mathcal{O}_K = \bigcap_{w \in \mathcal{V}_K} \mathcal{O}_{K_w} \cap K = \bigcap_p \mathcal{O}_p \cap K$$

(here we have noted  $\mathcal{O}_p := \prod_{w|p} \mathcal{O}_{K_w} \subset K_p$ ). As we show below this analytically defined object is the ring of integers of  $K$ :

THEOREM 5.6. *The set  $\mathcal{O}_K$  has the following properties*

- $\mathcal{O}_K$  is a ring.



- $\mathcal{O}_K$  is a lattice.
- $\mathcal{O}_K$  is the set of elements of  $K$  which are roots of some monic polynomial with integral coefficient or equivalently whose characteristic or minimal polynomial has integral coefficients; therefore  $\mathcal{O}_K$  is called the ring of integers of  $K$ .

PROOF.  $\mathcal{O}_K$  is a ring as an intersection of rings. For any  $x \in \mathcal{O}_K$  we have for any  $p$

$$P_{char,x,K}(X) = \prod_w P_{char,x,K_w}(X) \in \mathbb{Z}_p[X]$$

therefore  $P_{char,x,K}(X)$  has integral coefficients; conversely any element of  $K$  whose characteristic polynomial is integral is contained in  $\mathcal{O}_w$  for every  $w$  hence in  $\mathcal{O}_K$ . The equivalence of this characterization to the integrality of the minimal polynomial or some annihilating polynomial follows from Gauss lemma.

We observe that  $K$  contains a lattice (hence contains a basis of  $K$ ); this follows from for any  $x \in K$  there exists some non zero  $m \in \mathbb{Z}$  such that  $mx \in \mathcal{O}_K$ ; indeed let

$$P_{char,x}(X) = X^n + a_{n-1}X^{n-1} + \cdots + a_0, \quad a_i \in \mathbb{Q}$$

we have for  $m \neq 0$  an integer

$$\begin{aligned} P_{char,mx}(X) &= \det(X\text{Id} - \theta(mx)) = m^n \det\left(\frac{X}{m}\text{Id} - \theta(x)\right) \\ &= m^n \left(\left(\frac{X}{m}\right)^n + a_{n-1}\left(\frac{X}{m}\right)^{n-1} + \cdots + a_0\right) = X^n + a_{n-1}mX^{n-1} + \cdots + m^n a_0 \end{aligned}$$

is integral for  $m$  sufficiently divisible.

To show that  $\mathcal{O}_K$  is a lattice it is sufficient to show that  $\mathcal{O}_K$  is discrete in  $K_\infty$ : by a well known lemma  $\mathcal{O}_K$  will then be a finitely generated  $\mathbb{Z}$ -module clearly of maximal rank since it generated  $K$  as a  $\mathbb{Q}$ -vector space. To prove discreteness it is sufficient to observe that if  $x_\infty \in K_\infty \subset M_n(\mathbb{R})$  has sufficiently small coefficients in some fixed basis of  $K_\infty$  and integral characteristic polynomial, all the coefficient excepted for the dominant one have to be 0 and therefore  $x = 0$ .  $\square$

EXERCISE 5.5 (Orders of a number field). An order  $\mathcal{O} \subset K$  is a subring of  $K$  which is also a lattice. Prove the order are exactly the subsets of  $K$  of the shape: for  $L \subset K$  a lattice

$$\mathcal{O}(L) := \{x \in K, xL \subset L\} = \text{End}_K(L) \cap K.$$

Prove that any order<sup>1</sup> is contained in  $\mathcal{O}_K$ :  $\mathcal{O}_K$  is also called the maximal order. Prove, more generally that any subring  $R \subset K$  which is finitely generated as a  $\mathbb{Z}$ -module is contained into  $\mathcal{O}_K$ .

**4.1. The ideals of  $\mathcal{O}_K$ .** By convention an ideal<sup>2</sup>  $\mathfrak{a} \subset \mathcal{O}_K$  (a  $\mathcal{O}_K$ -module contained into  $\mathcal{O}_K$ ) is always non-zero. It is useful to slightly extend the definition of ideal:

DEFINITION 5.5. A fractional  $\mathcal{O}_K$ -ideal  $\mathfrak{a} \subset K$  is a non-zero  $\mathcal{O}_K$ -module for which there exist  $\lambda \in K^\times$  such that  $\lambda\mathfrak{a} \subset \mathcal{O}_K$ . The set of fractional ideals is noted  $J(\mathcal{O}_K)$  or  $J_K$ . We also denote by  $P(\mathcal{O}_K) = P_K = \{\lambda\mathcal{O}_K, \lambda \in K^\times\}$  the subset of principal ideals

LEMMA 5.1. A fractional ideal  $\mathfrak{a}$  is a  $\mathbb{Z}$ -lattice in  $K$ . In particular,  $\mathcal{O}_K \cap \mathfrak{a}$  is of finite index in both  $\mathcal{O}_K$  and  $\mathfrak{a}$ .

<sup>1</sup>and more generally, any subring of  $\mathcal{O}_K$  which is finitely generated as a  $\mathbb{Z}$ -module

<sup>2</sup>or an  $\mathcal{O}_K$ -ideal

PROOF. □

THEOREM 5.7. *The set of fractional ideals has the following structural properties:*

- *The set of fractional ideals has a natural structure of commutative group with unit element  $\mathcal{O}_K$  and multiplication given by*

$\mathfrak{a}\mathfrak{b} = \langle ab, a \in \mathfrak{a}, b \in \mathfrak{b} \rangle =$  *the ideal generated by product of elements of  $\mathfrak{a}$  and  $\mathfrak{b}$ ,*

- *The prime ideals of  $\mathcal{O}_K$  are generator of that group and wrt the above multiplication law, every ideal decompose in a unique way as a product of powers of primes ideals,*

$$\mathfrak{a} = \prod_{\mathfrak{p}} \mathfrak{p}^{v_{\mathfrak{p}}(\mathfrak{a})}, \quad v_{\mathfrak{p}}(\mathfrak{a}) = 0 \text{ for a.e. } \mathfrak{p}.$$

*The integer  $v_{\mathfrak{p}}(\mathfrak{a})$  is the valuatim at  $\mathfrak{p}$  of the fractional ideal  $\mathfrak{a}$ .*

- *In other terms,  $J_K$  is isomorphic to the free (commutative)  $\mathbb{Z}$ -module generated by the set of prime  $\mathcal{O}_K$ -ideals  $\text{Spec}(\mathcal{O}_K)$ ,  $\text{Div}(\text{Spec}(\mathcal{O}_K))$  say; that is the set of finite integral linear combinations of the symbols  $\mathfrak{p} \in \text{Spec}(\mathcal{O}_K)$*

$$\sum_{\mathfrak{p}} v_{\mathfrak{p}} \cdot \mathfrak{p}, \quad v_{\mathfrak{p}} \in \mathbb{Z}, \quad v_{\mathfrak{p}} = 0 \text{ for a.e. } \mathfrak{p}.$$

- *Some basic calculus for integers remains valid for fractional ideals:*

$$\mathfrak{a} \subset \mathfrak{b} \Leftrightarrow \forall \mathfrak{p} \quad v_{\mathfrak{p}}(\mathfrak{a}) \geq v_{\mathfrak{p}}(\mathfrak{b})$$

*in which case one says that  $\mathfrak{b}$  divides  $\mathfrak{a}$  which is written*

$$\mathfrak{b} | \mathfrak{a};$$

*in addition*

$$\mathfrak{a} + \mathfrak{b} = \langle a + b, a \in \mathfrak{a}, b \in \mathfrak{b} \rangle = \prod_{\mathfrak{p}} \mathfrak{p}^{\min(v_{\mathfrak{p}}(\mathfrak{a}), v_{\mathfrak{p}}(\mathfrak{b}))} = g.c.d(\mathfrak{a}, \mathfrak{b})$$

$$\mathfrak{a} \cap \mathfrak{b} = \prod_{\mathfrak{p}} \mathfrak{p}^{\max(v_{\mathfrak{p}}(\mathfrak{a}), v_{\mathfrak{p}}(\mathfrak{b}))} = l.c.m(\mathfrak{a}, \mathfrak{b}).$$

Because of this result the set of prime ideals is particularly important:

PROPOSITION 5.3. *A prime ideal  $\mathfrak{p} \subset \mathcal{O}_K$  is maximal. The quotient  $\mathcal{O}_K/\mathfrak{p} = k_{\mathfrak{p}}$  is a finite finite.*

PROOF. Since  $\mathfrak{p}$  is a lattice the quotient  $\mathcal{O}_K/\mathfrak{p} = k_{\mathfrak{p}}$  is a finite integral ring hence is a field so  $\mathfrak{p}$  is maximal. □

DEFINITION 5.6. *The field  $k_{\mathfrak{p}}$  called the residue field at  $\mathfrak{p}$ , its characteristic  $p$  the residual characteristic and its degree  $f_{\mathfrak{p}} = [k_{\mathfrak{p}} : \mathbb{F}_p]$  is called the residual degree.*

PROPOSITION 5.4. *A prime ideal  $\mathfrak{p}$  a characteristic  $p$  iff  $p \subset \mathfrak{p}$  or equivalently  $\mathfrak{p} | p\mathcal{O}_K$  also written  $\mathfrak{p} | p$ . The valuation  $v_{\mathfrak{p}}(p\mathcal{O}_K)$  is also noted  $e_{\mathfrak{p}}$  and is called the ramification index of  $p$  at  $\mathfrak{p}$ . One has the relation*

$$n = \sum_{\mathfrak{p} | p} e_{\mathfrak{p}} f_{\mathfrak{p}}.$$

Here we retrieve these statement from the adelic viewpoint:

Let  $\mathfrak{a} \subset K$  be an ideal, one associates to  $\mathfrak{a}$  the sequence of local lattices

$$(\mathfrak{a}_w)_w, \mathfrak{a}_w \in \mathcal{L}(K_w), \text{ for a.e. finite } w, \mathfrak{a}_w = \mathcal{O}_w.$$

By density the  $\mathcal{O}_K$ -action on  $\mathfrak{a}$  extend to an  $\mathcal{O}_{K_w}$ -action on  $\mathfrak{a}_w$ ; since  $\mathcal{O}_w$  is a principal ideal ring,  $\mathfrak{a}_w$  is of the shape

$$\mathfrak{a}_w = \alpha_w \mathcal{O}_w, \alpha_w \in K_w^\times, \alpha_w \in \mathcal{O}_{K_w}^\times \text{ for a.e. } w.$$

The number  $\alpha_w$  is called a local generator of  $\mathfrak{a}$  at the place  $w$ ;  $\alpha_w$  is uniquely defined up to multiplication by an element of  $\mathcal{O}_{K_w}^\times$  and we may take  $\alpha_w$  to be some power of some uniformizer  $\pi_w$ , say

$$\alpha_w = \pi_w^{v_w(\alpha_w)}.$$

In particular the quantity

$$v_w(\mathfrak{a}) = v_w(\alpha_w) \in \mathbb{Z},$$

does not depend on the choice of  $\alpha_w$  and are called the local valuation and the local norm of  $\mathfrak{a}$  at the place  $w$ . We have therefore constructed a map

$$\mathfrak{a} \in J(\mathcal{O}_K) \mapsto a_f \widehat{\mathcal{O}}_K^\times = (a_w \mathcal{O}_{K_w}^\times)_{w \in \mathcal{V}_{K,f}} \in \mathbb{A}_{K,f}^\times / \widehat{\mathcal{O}}_K^\times$$

where

$$\mathbb{A}_{K,f}^\times = \prod'_{w \in \mathcal{V}_{K,f}} K_w^\times$$

is the group of finite ideles of  $K$  and

$$\widehat{\mathcal{O}}_K^\times = \prod_{w \in \mathcal{V}_{K,f}} \mathcal{O}_{K_w}^\times$$

is a maximal open-compact subgroup of  $\mathbb{A}_{K,f}^\times$ .

**THEOREM 5.8.** *The above map is a group isomorphism. Under this isomorphism, the set of prime ideals  $\text{Spec}(\mathcal{O}_K)$  correspond to the classes of ideles  $\pi_w \widehat{\mathcal{O}}_K^\times$  for  $w \in \mathcal{V}_{K,f}$  (the idele noted  $\pi_w$  is the one whose  $w$ -component is the uniformizer  $\pi_w$  and all other components are equal to 1).*

**PROOF.**

□

#### 4.2. The norm of an ideal.

**DEFINITION 5.7.** *The norm (or index) of a fractional ideal  $\mathfrak{a}$  is the rational number*

$$\text{Nr}_{K/\mathbb{Q}}(\mathfrak{a}) = \frac{[\mathcal{O}_K : \mathcal{O}_K \cap \mathfrak{a}]}{[\mathfrak{a} : \mathcal{O}_K \cap \mathfrak{a}]} \in \mathbb{Q}_{>0}.$$

**PROPOSITION 5.5.** *The norm is a group homomorphism. Under the isomorphism  $J_K \simeq \mathbb{A}_K^\times / \widehat{\mathcal{O}}_K^\times$  it correspond to*

$$a_f \widehat{\mathcal{O}}_K^\times \mapsto |a_f|_{\mathbb{A}_K}^{-1} = |\text{Nr}_{K/\mathbb{Q}}(a_f)|_{\mathbb{A}}^{-1} = \prod_p \prod_{\mathfrak{p}|p} |\text{Nr}_{K\mathfrak{p}/\mathbb{Q}_p}(a_w)|_p^{-1} = \prod_p \prod_{\mathfrak{p}|p} p^{f_{\mathfrak{p}} v_w(a_w)}.$$

For  $\lambda \in K^\times$ , one has the formula

$$\text{Nr}(\lambda \mathcal{O}_K) = |\text{Nr}_{K/\mathbb{Q}}(\delta_f(\lambda))|_{\mathbb{A}}^{-1} = |\text{Nr}_{K/\mathbb{Q}}(\lambda)|_{\infty}.$$

**4.3. Two finiteness theorems in algebraic number theory.** In the classical algebraic number theory there are two important finiteness theorems, one concerning the *ideal class group* of  $\mathcal{O}_K$  (due to Dedekind), the other concerning the structure of the group of units  $\mathcal{O}_K^\times$  (due to Dirichlet). We describe these theorems in the classical setting and show that they are equivalent to the compactness of the adelic quotient  $K^\times \backslash \mathbb{A}_K^{(1)}$ .

Let  $\mathfrak{a} \subset K$  be a fractional ideal. We have seen that for every non-archimedean place  $w \in \mathcal{V}_{K,f}$  the local  $\mathcal{O}_w$ -fractional ideal  $\mathfrak{a}_w \subset K_w$  is principal. We then say that  $\mathfrak{a}$  is *locally principal*. Obviously any principal ideal is locally principal and a natural question is whether the converse holds: *is a locally principal ideal globally principal?*

The obstruction to this question is measured by

DEFINITION 5.8. *The ideal class group of  $\mathcal{O}_K$  is the quotient of the group of fractional ideals by the principal ones*

$$Cl_K = Cl(\mathcal{O}_K) = J_K/P_K;$$

*in other terms this is the set of classes of fractional  $\mathcal{O}_K$ -ideals modulo homothety:*

$$\mathfrak{a} \sim \mathfrak{b} \Leftrightarrow \exists \lambda \in K^\times, \mathfrak{a} = \lambda \mathfrak{b}.$$

Obviously any fractional ideal is principal if and only if  $Cl_K$  is trivial. This is not always the case but one is not so far away from it since

THEOREM 5.9 (Finiteness of the class group). *The ideal class group  $Cl_K$  is finite.*

EXERCISE 5.6. More generally let  $\mathcal{O} \subset K$  be an order and let  $J(\mathcal{O})$  be the set of fractional  $\mathcal{O}$ -ideals. One that and ideal  $\mathfrak{a} \subset J(\mathcal{O})$  is locally principal if for every non-archimedean place  $w$ ,  $\mathfrak{a}_w = a_w \mathcal{O}_w$  for some  $a_w \in K_w^\times$ . It is not always the case that any fractional  $\mathcal{O}$ -ideal is principal. The objective of this exercise is to prove the following statement: *if  $\mathcal{O}$  is monogenic, that is  $\mathcal{O} = \mathbb{Z}[x]$  for some  $x \in K$ , then every fractional ideal is locally principal.*

Regarding the group of unit  $\mathcal{O}_K^\times$  we consider the group of infinite ideles

$$K_\infty^\times = \prod_{w|\infty} K_w^\times \simeq (\mathbb{R}^\times)^{n_1} \times (\mathbb{C}^\times)^{n_2}, \quad n_1 + 2n_2 = n.$$

We have the embedding

$$\delta_\infty : \mathcal{O}_K^\times \hookrightarrow K_\infty^\times.$$

Because of the product formula, the image of  $\mathcal{O}_K^\times$  is contained into the smaller subgroup of infinite ideles whose adelic modulus is 1

$$K_\infty^{(1)} = K_\infty^\times \cap \mathbb{A}_K^{(1)} = \{x_\infty = (x_w)_{w|\infty}, |x_\infty|_{\mathbb{A}_K} = \prod_{w|\infty} |x_w|_{w,n} = 1\}$$

indeed for  $x \in \mathcal{O}_K^\times$ ,  $|x_w|_w = 1$  for every finite  $w$ .

By the polar decompositions

$$x \in \mathbb{R} \mapsto (|x|_\infty, \text{sgn}(x)) \in \mathbb{R}_{>0} \times \{\pm 1\}$$

and

$$z \in \mathbb{C} \mapsto (|z|_\mathbb{C}, z/|z|_\mathbb{C}) \in \mathbb{R}_{>0} \times S^1$$

and the logarithm map  $\log : \mathbb{R}_{>0} \mapsto \mathbb{R}$ , one has the group isomorphisms

$$K_\infty^\times \simeq (\mathbb{R}_{>0})^{n_1+n_2} \times \{\pm 1\}^{n_1} \times (S^1)^{n_2} \simeq \mathbb{R}^{n_1+n_2} \times \{\pm 1\}^{n_1} \times (S^1)^{n_2}$$

and

$$K_\infty^{(1)} \simeq \mathbb{R}^{n_1+n_2-1} \times \{\pm 1\}^{n_1} \times (S^1)^{n_2},$$

the first factor being the kernel of the linear form

$$(u_1, \dots, u_{n_1+n_2}) \in \mathbb{R}^{n_1+n_2} \mapsto u_1 + \dots + u_{n_1} + 2(u_{n_1+1} + \dots + u_{n_1+n_2})$$

**THEOREM 5.10** (Dirichlet unit's theorem). *The image of  $\mathcal{O}_K^\times$  in  $K_\infty^{(1)}$  is discrete and cocompact. Consequently  $\mathcal{O}_K^\times$  is a finitely generated abelian group of rank  $n_1 + n_2 - 1$ .*

**THEOREM 5.11.** *Theorem 5.5 is equivalent to the two finiteness theorems of Dirichlet and Dedekind.*

PROOF. □

## 5. Duality, Discriminant and Ramification

As we have seen already, the fact that  $K$  is equipped with a natural non-degenerate quadratic form

$$\langle x, y \rangle_{L/\mathbb{Q}} = \text{tr}_{K/\mathbb{Q}}(xy),$$

play an important role in the understanding of the local algebras  $K_p$  and their factorization into a product of local fields.

Here we use again this trace form to give an alternative proof of the fact that  $\mathcal{O}_K$  is a lattice.

For this we discuss the notion of duality relative to lattices.

**DEFINITION 5.9.** *Let  $k$  be either  $\mathbb{Q}$  or  $\mathbb{Q}_v$  and let  $V$  be a finite dimensional  $k$ -vector space equipped with a non-degenerate bilinear form  $\langle \cdot, \cdot \rangle$ . Given  $L \subset V$  a  $\mathbb{Z}_v$ -lattice, the dual lattice  $L^*$  is the lattice*

$$L^* = \{x \in V, \langle x, L \rangle \subset \mathbb{Z}_v\} = \{x \in V, \forall y \in L, \langle x, y \rangle \in \mathbb{Z}_v\}.$$

$L^*$  is indeed a lattice because if  $\mathcal{B} = \{\mathbf{e}_i\}$  is a basis of  $L$ ,

$$L^* = \sum_i \mathbb{Z}_v \mathbf{e}_i^*$$

where  $\mathcal{B}^* = \{\mathbf{e}_i^*\}$  is the *dual* basis of  $\mathcal{B}$  relative to  $\langle \cdot, \cdot \rangle$  (ie. the basis corresponding to the dual basis of  $\mathcal{B}$  in  $V^*$  under the isomorphism  $V \simeq V^*$  induced by  $\langle \cdot, \cdot \rangle$ ):

$$\langle \mathbf{e}_i, \mathbf{e}_j^* \rangle = \delta_{i=j} = \begin{cases} 1 & i = j \\ 0 & i \neq j. \end{cases}$$

The dual lattice construction has the following properties (proofs are left as exercises)

$$L^{**} = L$$

$$L \subset L' \Leftrightarrow L'^* \subset L^*$$

$$(L + L')^* = L^* \cap L'^*.$$

**5.1. Duality for ideals.** We are now ready to give another proof that

THEOREM 5.12.  $\mathcal{O}_K$  is finitely generated.

PROOF. We have seen that  $\mathcal{O}_K$  contains a lattice say  $L \subset \mathcal{O}_K$  and let  $L^*$  be the dual lattice. We claim that  $\mathcal{O}_K \subset L^*$  hence is finitely generated. Indeed for any  $x \in \mathcal{O}_K$  and  $y \in L \subset \mathcal{O}_K$  we have  $xy \in \mathcal{O}_K \cdot L \subset \mathcal{O}_K \cdot \mathcal{O}_K = \mathcal{O}_K$  since  $\mathcal{O}_K$  is a ring; in particular  $\text{tr}_{L/\mathbb{Q}}(xy) \in \mathbb{Z}$  the later being the coefficient of degree  $n - 1$  of  $P_{\text{char},xy} \in \mathbb{Z}[X]$ .  $\square$

We can apply that construction to  $\mathcal{O}_K$  or to fractional  $\mathcal{O}_K$ -ideals: for  $\mathfrak{a} \in J_K$  we have the dual lattice

$$\mathfrak{a}^* = \{x \in K, \text{tr}_{K/\mathbb{Q}}(x\mathfrak{a}) \subset \mathbb{Z}\}.$$

PROPOSITION 5.6. The dual lattice  $\mathfrak{a}^*$  is a fractional ideal. Moreover if  $(\mathfrak{a}_w)_w$  are the local  $\mathcal{O}_{K_w}$  fractional ideals associated to  $\mathfrak{a}$ , the local ideals associated to  $\mathfrak{a}^*$  are  $(\mathfrak{a}_w^*)_w$  where

$$\mathfrak{a}_w^* = \{x \in K_w, \text{tr}_{K_w/\mathbb{Q}_v}(x\mathfrak{a}_w) \subset \mathbb{Z}_v\}$$

is the dual of  $\mathfrak{a}_w$  wrt the quadratic form  $\langle \cdot, \cdot \rangle_{K_w/\mathbb{Q}_v} = \text{tr}_{K_w/\mathbb{Q}_v}(\cdot \times \cdot)$ .

PROOF. For any prime  $p$ , let  $\mathfrak{a}_p$  be the closure of  $\mathfrak{a}$  in  $K_p$ ; clearly

$$\mathfrak{a}_p^* = \{x \in K_p, \text{tr}_{K/\mathbb{Q}}(x\mathfrak{a}) \subset \mathbb{Z}_p\}.$$

Let us recall as a quadratic space  $(K_p, \langle \cdot, \cdot \rangle_{K_p/\mathbb{Q}_p})$  decompose into an orthogonal sum

$$(K_p, \langle \cdot, \cdot \rangle_{K_p/\mathbb{Q}_p}) = \bigoplus_{w|p} (K_w, \langle \cdot, \cdot \rangle_{K_w/\mathbb{Q}_p}).$$

This implies that  $\mathfrak{a}_p \subset K_p$  decompose as the orthogonal sum of the  $\mathfrak{a}_w$  and from there is it clear that  $\mathfrak{a}_p^*$  is the orthogonal sum of the  $\mathfrak{a}_w^*$ .  $\square$

COROLLARY 5.1. One has the formula

$$\mathfrak{a}^* = \mathfrak{a}^{-1} \mathcal{O}_K^*$$

where

$$\mathcal{O}_K^* = \{x \in K, \forall y \in \mathcal{O}_K, \text{tr}_{K/\mathbb{Q}}(xy) \in \mathbb{Z}\}.$$

is the dual of the ring of integers  $\mathcal{O}_K$ .

PROOF. It is sufficient to compute  $\mathfrak{a}_w^*$  for every finite place  $w$ : write  $\mathfrak{a}_w = a_w \mathcal{O}_{K_w}$ , we have

$$\begin{aligned} \mathfrak{a}_w^* &= \{x \in K_w, \text{tr}_{K_w/\mathbb{Q}_v}(xa_w \mathcal{O}_{K_w}) \subset \mathbb{Z}_v\} \\ &= \{x = a_w y, y \in K_w, \text{tr}_{K_w/\mathbb{Q}_v}(y \mathcal{O}_{K_w}) \subset \mathbb{Z}_v\} = a_w^{-1} \mathcal{O}_{K_w}^*. \end{aligned} \quad \square$$

**5.2. Different and discriminant.** Because of the above result the fractional ideal  $\mathcal{O}_K^*$  is of some importance and we will discuss it in greater details. Since

$$\text{tr}_{K/\mathbb{Q}}(\mathcal{O}_K \cdot \mathcal{O}_K) = \text{tr}_{K/\mathbb{Q}}(\mathcal{O}_K) \subset \mathbb{Z}$$

we have the inclusion

$$\mathcal{O}_K \subset \mathcal{O}_K^*$$

or in different terms (using the decomposition into prime ideals) we have

$$\mathcal{O}_K^* = \prod_{\mathfrak{p}} \mathfrak{p}^{v_{\mathfrak{p}}(\mathcal{O}_K^*)} \text{ with } v_{\mathfrak{p}}(\mathcal{O}_K^*) \leq 0.$$

We make the following

DEFINITION 5.10. *The different ideal  $\mathfrak{d}_K$  is defined as the inverse of  $\mathcal{O}_K^*$  in  $J_K$*

$$\mathfrak{d}_K = (\mathcal{O}_K^*)^{-1} = \prod_{\mathfrak{p}} \mathfrak{p}^{-v_{\mathfrak{p}}(\mathcal{O}_K^*)} \subset \mathcal{O}_K.$$

*The norm of the different  $\mathfrak{d}_K$  is called the discriminant of  $\mathcal{O}_K$*

$$\text{disc}(\mathcal{O}_K) = \text{Nr}_{K/\mathbb{Q}}(\mathfrak{d}_K) \in \mathbb{N}_{\geq 1}.$$

*For any finite place  $w$  define the local different and the local discriminant at  $w$  by*

$$\begin{aligned} \mathfrak{d}_{\mathfrak{p}} &= (\mathcal{O}_{K_{\mathfrak{p}}}^*)^{-1} = \pi_{\mathfrak{p}}^{-v_{\mathfrak{p}}(\mathcal{O}_K^*)} \mathcal{O}_{K_{\mathfrak{p}}} \\ \text{disc}(\mathcal{O}_{K_{\mathfrak{p}}}) &= \text{Nr}_{K_{\mathfrak{p}}/\mathbb{Q}_p}(\mathfrak{d}_{\mathfrak{p}}). \end{aligned}$$

We have the following formulas

PROPOSITION 5.7. *Let  $\mathcal{B} = \{\mathbf{e}_i\}$  be any basis of  $\mathcal{O}_K$ , one has*

$$\text{disc}(\mathcal{O}_K) = |\det(\text{tr}_{K/\mathbb{Q}}(\mathbf{e}_i \mathbf{e}_j))|.$$

*Similarly for any prime  $\mathfrak{p}$  and  $\mathcal{B}_{\mathfrak{p}} = \{\mathbf{e}_i\}$  any basis of  $\mathcal{O}_{K_{\mathfrak{p}}}$*

$$\text{disc}(\mathcal{O}_{K_{\mathfrak{p}}}) = |\det(\text{tr}_{K_{\mathfrak{p}}/\mathbb{Q}_p}(\mathbf{e}_i \mathbf{e}_j))|_p^{-1}$$

PROOF. By definition  $\text{disc}(\mathcal{O}_K) = [\mathcal{O}_K : \mathfrak{d}_K] = [\mathcal{O}_K^* : \mathcal{O}_K]$  and the later is obtained is  $|\det((m_{i,j}))|$  where the  $m_{ij}$  are the coordinates of  $\mathbb{Z}$ -basis  $\mathcal{B}$  of  $\mathcal{O}_K$  in the dual basis  $\mathcal{B}^*$ ,

$$\mathbf{e}_i = \sum_j m_{ij} \mathbf{e}_j^*.$$

By definition of the dual basis we have

$$m_{ij} = \langle \mathbf{e}_i, \mathbf{e}_j \rangle_{K/\mathbb{Q}}.$$

□

**5.3. Ramification.** We have the following classical definition:

DEFINITION 5.11. *A prime ideal  $\mathfrak{p} \subset \mathcal{O}_K$  is ramified if its ramification index  $e_{\mathfrak{p}} > 1$ . A natural prime  $p$  is ramified in  $\mathcal{O}_K$  if there is some prime ideal  $\mathfrak{p} \subset \mathcal{O}_K$  dividing  $p$  which is ramified. Prime or prime ideal which are not ramified are called unramified.*

The following result shows that there are only finitely many ramified primes:

THEOREM 5.13. *Given  $\mathfrak{p}$  a prime ideal, one has the equivalence*

$$v_{\mathfrak{p}}(\mathfrak{d}_K) > 0 \Leftrightarrow e_{\mathfrak{p}} > 1.$$

*Consequently a prime number  $p$  is ramified if and only if it divides the discriminant  $\text{disc}(\mathcal{O}_K)$ .*

PROOF. We prove the implication

$$e_{\mathfrak{p}} > 1 \Rightarrow v_{\mathfrak{p}}(\mathfrak{d}_K) > 0$$

and leave the converse as an exercise. Under the assumption  $e_{\mathfrak{p}} > 1$  we want to show that  $\mathfrak{p} | \mathfrak{d}_K$  or equivalently that  $p | \det(\text{tr}_{K_{\mathfrak{p}}/\mathbb{Q}_p}(\mathbf{e}_i \mathbf{e}_j))$  where  $\mathcal{B}_{\mathfrak{p}} = \{\mathbf{e}_i\}$  is a basis of  $\mathcal{O}_{K_{\mathfrak{p}}}$ . For this we consider the quotient  $k_{\mathfrak{p},p} = \mathcal{O}_{K_{\mathfrak{p}}}/p\mathcal{O}_{K_{\mathfrak{p}}}$ ; this is a finite dimensional  $\mathbb{F}_p$ -algebra of dimension  $d_{\mathfrak{p}}$  which equipped with the bilinear form  $\langle \cdot, \cdot \rangle_{K_{\mathfrak{p}}/\mathbb{Q}_p} \pmod{p}$ ,

$$\langle \cdot, \cdot \rangle_{\mathfrak{p},p} : (x \pmod{p}, y \pmod{p}) \in k_{\mathfrak{p},p}^2 \mapsto \text{tr}_{K_{\mathfrak{p}}/\mathbb{Q}_p}(xy) \pmod{p}$$

(verify that this is well defined). The set  $\mathcal{B}(\text{mod } p)$  form an basis of  $k_{\mathfrak{p},p}$  (because it is generating of the right cardinality) and  $\det(\text{tr}_{K_{\mathfrak{p}}/\mathbb{Q}_p}(\mathbf{e}_i \mathbf{e}_j))(\text{mod } p)$  is the determinant of the trace form  $\langle \cdot, \cdot \rangle_{K_{\mathfrak{p}}/\mathbb{Q}_p}(\text{mod } p)$  in that basis. Let us assume that  $e_{\mathfrak{p}} > 1$ , and let  $\pi_{\mathfrak{p}}$  be some uniformizer. Under our assumption, the element  $\pi_{\mathfrak{p}}(\text{mod } p)$  is a non-zero nilpotent element of  $k_{\mathfrak{p},p}$  of nilpotent index  $e_{\mathfrak{p}}$ , in particular for any  $x(\text{mod } p) \in k_{\mathfrak{p},p}$ ,  $x\pi_{\mathfrak{p}}(\text{mod } p)$  is nilpotent and

$$\text{tr}_{K_{\mathfrak{p}}/\mathbb{Q}_p}(x\pi) \equiv 0(\text{mod } p)$$

which shows that the bilinear form  $\langle \cdot, \cdot \rangle_{\mathfrak{p}}(\text{mod } p)$  is degenerate hence equals  $0(\text{mod } p)$ .  $\square$